

---

---

## Canadian Lawyers Liability Assurance Society

### 2019/2020 Renewal Application for Excess Professional Liability Insurance

---

---

This application is made by the undersigned member (the "Firm") of the Canadian Lawyers Liability Assurance Society ("CLLAS") for issuance by CLLAS to the Firm of policies of professional liability insurance.

*Note: The policies applied for are "claims made" policies and only provide coverage for claims first made against the Insured during the policy period.*

Please answer **ALL** questions. Where space to answer is insufficient, attach a separate sheet.

1. Name of Firm (Named Insured): **McCarthy Tétrault LLP; McCarthy Tétrault Registered Foreign Lawyers and Solicitors; McCarthy Tétrault (New York) LLP; McCarthy Tétrault (US) LLP**

---

2. Address of principal office: **Suite 5300, Toronto Dominion Bank Tower, Toronto ON M5K 1E6**

---

Phone: **(416) 362-1812**

Fax: **(416) 868-0673**

---

3. Address, phone and fax numbers of other office(s):

---

**See attached Appendix J.**

---

4. Management or service companies, date(s) established and services provided:

**Cartan Limited is named as "agents for service" in corporate filings made with the provincial governments by McCarthy's on behalf of certain of its clients. Cartan Limited was incorporated on May 17, 1962.**

**Fleural Inc. is named as "agents for service" in corporate filings made with the provincial governments by McCarthy's on behalf of certain of its clients. Fleural Inc. was incorporated on June 4, 2010. Fleural Inc. was dissolved in 2012.**

**Cartan Office Services Limited, Cartan Office Services (Ottawa) Limited, and Hallmark Management Limited provide premises to the Firm.**

**Cartan Office Services Limited was incorporated on May 12, 1965. Cartan Office Services (Ottawa) Limited was incorporated on February 19, 1997. Hallmark Management Limited was incorporated on May 26, 1961.**

**MT Services Limited Partnership was formed in 1997.**

**MT Services Limited Partnership provides management, administrative and other services including office premises to the Firm.**

- 
5. *Is the Firm a multi-disciplinary partnership ("MDP")?* ☐ *yes* ☒ *no*

If "yes", provide date MDP was established and name the non-lawyer partners and their respective disciplines.

6. Since the most recent CLLAS application, has the name of the Firm been changed, or has any firm merged into the Firm? If so, give full particulars (including the number of lawyers merged into the Firm in each such situation) unless previously provided.

No.

---

---

---

7. Attached as Appendix A is a list of the Firm's predecessor firms resulting from mergers since July 1, 1987. Is the list complete?

☒ *yes* ☐ *no*

If "no", please provide update.

Note: A predecessor firm is one a) which has undergone dissolution; and b) in which more than 50% of the partners and employed lawyers became partners and employed lawyers of the Firm.

8. Please complete Appendices B and C to provide the following details as of March 1, 2019:
- a) Number of lawyers (including partners, employed lawyers, counsels/of counsels and lawyer consultants).
  - b) Number of patent & trademark agents (who are not lawyers).
  - c) Number of other non-lawyer consultants.
  - d) Number of paralegals.
  - e) Number of other employees.
  - f) If applicable, the number of lawyers who are not partners, employed lawyers, counsels/of counsels or lawyer consultants of the Firm who, directly or indirectly, provide services to professional corporations which are partners of the Firm. Please identify such individuals and professional corporations as requested in Appendix B.

Note: A common professional corporation structure is one where the lawyer remains a partner of the firm but the firm contracts with a professional corporation to provide the services of the partner to the firm via the professional corporation. Those lawyers would be accounted for in a) above. Question f) is intended to address an alternative structure whereby the professional corporation itself is a partner of the firm and it contracts directly or via another professional corporation with a lawyer to provide professional services.

9. Please show the Firm's practice split by indicating the approximate percentage of billings for the following areas of law:

	<u>This Year</u>		<u>Last Year</u>	
a) Corporate and Commercial Law	<u>39</u>	%	<u>39</u>	%
b) Criminal Law	<u>0</u>	%	<u>0</u>	%
c) Family Law	<u>0</u>	%	<u>0</u>	%
d) Intellectual Property	<u>1</u>	%	<u>1</u>	%
e) Labour Law	<u>4</u>	%	<u>5</u>	%
f) Litigation	<u>35</u>	%	<u>35</u>	%
g) Real Estate	<u>13</u>	%	<u>12</u>	%
h) Securities Law	<u>Included in</u>	%	<u>Included in</u>	%
	<u>Corporate</u>		<u>Corporate</u>	
	<u>&amp;</u>		<u>&amp;</u>	
	<u>Commercial</u>		<u>Commercial</u>	
i) Tax Matters	<u>5</u>	%	<u>6</u>	%
j) Wills, Estates, Trust	<u>Included in</u>	%	<u>Included</u>	%
	<u>Tax</u>		<u>in Tax</u>	
k) Other (please specify)	<u>3</u>	%	<u>2</u>	%
<u>Students /Library</u>				

10. Have any of the lawyers or non-lawyer consultants listed in Appendices B and C or former lawyers or former non-lawyer consultants of the Firm been the subject of disciplinary proceedings, suspended or disbarred from practice since the date of the Firm's most recent CLLAS application? ☒ yes ☐ no

If "yes", please provide full details:

**Two former lawyers (Ariel Breitman and Greg Liakopoulos) have been the subject of investigations by the Law Society of Alberta. However, in both cases, we understand that the conduct under investigation took place after they left the firm.**

11. Attached as Appendix D is a description of the "Associated Firms" and "Umbrella Firms" which are identified in the Associated Firm Endorsement (Endorsement No. 1) of the current CLLAS Primary Policy. Please verify, update where appropriate and advise CLLAS of any anticipated changes.
12. Canadian law society programs may restrict coverage if Professional Services are provided outside of Canada or if the Professional Services relate to non-Canadian law. The CLLAS Primary Policy also excludes coverage for lawyers providing Professional Services from a U.S. office as well as the practice of non-Canadian law. Please provide details of such services in Appendix E.
13. Attached as Appendix F is a schedule of claims and notices which have been given to the applicable law society and CLLAS as of December 31, 2018. Please verify and provide any changes in status. All known claims or notices that are not on the schedule, including those reported to any underlying insurance carrier, should be reported up to the date of this application. **However, updates thus reported are not considered official notice of claim to CLLAS.**

If applicable, CLLAS will also require an update on claims from your predecessor firms which were reported prior to any merger which are paid or currently reserved excess of \$500,000.

---

Note: Details required on all claims or notices are: name of lawyer, name of claimant, date claim reported, error date, date claim closed (if applicable), a brief description of the claim including damages sought, amount paid (legal & indemnity) and amount reserved (legal & indemnity).

14. Will the Firm purchase coverage under the first CLLAS optional excess layer?  
☒ **yes**      ☐ **no**

If "yes", please indicate preferred limit option:

- ☐ **\$10MM xs \$160MM**    ☐ **\$20MM xs \$160MM**  
☐ **\$30MM xs \$160MM**    ☐ **\$40MM xs \$160MM**  
☐ **\$50MM xs \$160MM**    ☒ **\$60MM xs \$160MM**

15. Under Appendix G, please provide a full description of the Firm's most current risk management policies and procedures or, if appropriate, an update to your response to Appendix G of last year's renewal application.
16. Please complete Appendix H to provide underwriting information with respect to cyber liability.
17. Please attach as Appendix I copy of the Firm's 2019 Professional Liability Insurance Application and Exemption Form submitted to LawPro.

**The undersigned hereby declares that the above statements and particulars, including those set forth in Appendices A through J, are true and that no material facts have been omitted, suppressed or misstated and that this application, which is deemed to include the information from any previous applications completed by the Firm for CLLAS, shall be the basis of each of the insurance contracts with CLLAS.**

Signature: \_\_\_\_\_

*(Must be signed by a Partner of the Firm)*

Name of Signatory: \_\_\_\_\_

**David E. Woolcombe**

*(Who shall be the designated contact person between CLLAS & the Firm as respects this insurance.)*

Date: \_\_\_\_\_

**March 29, 2019**

## APPENDIX A

### PREDECESSOR FIRMS

---

Name of Firm: McCarthy Tétrault LLP; McCarthy Tétrault Registered Foreign Lawyers and Solicitors; McCarthy Tétrault (New York) LLP; McCarthy Tétrault (US) LLP

	<u>Merger Date</u>
▪ McCarthy & McCarthy	
▪ Shrum, Liddle & Heberton	1989
▪ Black & Company	1989
▪ Clarkson Tétrault	1990
▪ McCarthy Tétrault	
▪ Susan Wortzman Professional Corporation	January 1, 2017

Note: A predecessor firm is one a) which has undergone dissolution; and b) in which more than 50% of the partners and employed lawyers became partners and employed lawyers of the Firm.

# **APPENDIX B** **ACTIVE MEMBERS OF THE FIRM AS OF MARCH 1, 2019**

Name of Firm: McCarthy Tétrault LLP; McCarthy Tétrault Registered Foreign Lawyers and Solicitors; McCarthy Tétrault (New York) LLP; McCarthy Tétrault (US) LLP

**See attached list and detailed schedules.**

	CANADA				OUTSIDE OF CANADA <sup>/5</sup>		
	<u>B.C.</u>	<u>Alberta</u>	<u>Ontario</u>	<u>Québec</u>	<u>Other Provinces</u> (Please specify)	<u>U.S.</u>	<u>Other Locations</u>
a) No. of Lawyers <sup>/1</sup>	64	39	273	164		3	9
b) No. of Patent & Trademark Agents <sup>/2</sup>	0	0	4	1		0	0
c) No. of Non-lawyer Consultants <sup>/3</sup>	4	0	18	2		0	0
d) No. of Paralegals	18	12	56	34		0	1
e) No. of Other Employees	87	71	390	194		1	8
f) No. of lawyers who are not employees of the Firm who, directly or indirectly, provide services to professional corporations which are partners of the Firm <sup>/4</sup>	20	25	47	12		0	0

<sup>/1</sup> Including partners, employed lawyers, counsels/ of counsels and lawyer consultants.

<sup>/2</sup> These are not lawyers.

<sup>/3</sup> Please complete Appendix C if individuals are reported under this category.

<sup>/4</sup> Lawyers reported here should not be included under a). (See note at Question 8.f) of the application.)

<sup>/5</sup> Please complete Question 3 of Appendix E to provide further information on lawyers reported under these columns.

***Please attach a list of all lawyers reported under a) above, showing in each case his/her full name, date of call, date joined the Firm and if applicable, date became partner.***

***Please attach a list of the names of the individuals reported under f) above, together with the names of the professional corporation to which they provide services.***

***If underlying insurance is purchased outside any Canadian mandatory law society program for lawyers, please provide full details under Appendix E, Question.***

If members of the Firm, either alone or with others, engage in the conduct of any profession or business other than the practice of law (e.g. financial management, mortgage brokering or other consulting; underwriting or brokering of securities or investment banking activities; real estate appraisal; actuarial analysis) either directly or indirectly as an agent, employee or partner of any organization, please give full particulars.

McCarthy Tetrault LLP

Category	Calgary	New York	London	Montreal	Quebec	Toronto	Vancouver	Appendix B Category
Partner	2	0	0	33	6	59	9	a) Lawyers
Partners who own Professional Corporations	0	0	0	0	0	0	0	a) Lawyers
Professional Corporations that are Partners	25	0	0	12	0	47	20	f) Partner Corporations
Income Partners	4	1	3	18	4	31	6	a) Lawyers
Associates	32	2	4	68	14	123	38	a) Lawyers
Counsel*	1	0	2	14	6	54	9	a) Lawyers
Counsel who own Professional Corporations	0	0	0	1	0	6	2	a) Lawyers
Associate Counsel	0	0	0	0	0	0	0	a) Lawyers
Associate Counsel who own Professional Corporations	0	0	0	0	0	0	0	a) Lawyers
Patent Agent Trade Mark Agent	0	0	0	0	0	0	0	a) Lawyers
Other Professionals	0	0	0	1	0	4	0	b) Patent and Trademark Agents
Law Clerks	12	0	1	28	6	56	18	c) Non-Lawyer Consultants
Students (including Summer Law Students)	8	0	0	14	6	24	9	d) Paralegal
All other Staff (includes contract and summer clerical)	63	1	8	158	16	366	78	e) Other Staff

\*Wayne Wouters is Strategic and Policy Advisor and is captured in the Toronto Counsel who own Professional Corporations headcount. He is not called to the Bar









[illegible]

Office	Company	Toronto	McCarthy	Tetraault								
Lawyer Level	Practice Group	Last Name	First Name	Law Corporation	Bar (Local)	VOC (Local)	Additional Bars VOC	Hire Year	Year Began	SP	Count of Employees	Name
Law Clerks	Business Law	Robert	Robert	N/A	N/A	N/A		1988		N/A	1	
Law Clerks	Business Law	Adrian	Kristina	N/A	N/A	N/A		2017		N/A	1	
Law Clerks	Business Law	Carboneo	Stephen	N/A	N/A	N/A		1989		N/A	1	
Law Clerks	Business Law	Chevalier	Kenneth	N/A	N/A	N/A		2015		N/A	1	
Law Clerks	Business Law	Fredrick	Sonia	N/A	N/A	N/A		2017		N/A	1	
Law Clerks	Business Law	Key	Deborah	N/A	N/A	N/A		2004		N/A	1	
Law Clerks	Business Law	Khan	Shayaan T.	N/A	Ontario	N/A		2017		N/A	1	
Law Clerks	Business Law	Kirk-Timari	Sharon	N/A	N/A	N/A	2015	2001		N/A	1	
Law Clerks	Business Law	Lorille	Angela	N/A	N/A	N/A		1990		N/A	1	
Law Clerks	Business Law	Perera	Rohit	N/A	N/A	N/A		2018		N/A	1	
Law Clerks	Business Law	Principe	Roshana	N/A	N/A	N/A		2016		N/A	1	
Law Clerks	Business Law	Quaderi	Mehnaz	N/A	Ontario	N/A		2018		N/A	1	
Law Clerks	Business Law	Rafanigallo	Linda	N/A	N/A	N/A	2012	1981		N/A	1	
Law Clerks	Business Law	Therrien	Helen	N/A	N/A	N/A		2018		N/A	1	
Law Clerks	Business Law	Wrago	Rhena	N/A	N/A	N/A		2017		N/A	1	
Law Clerks	Business Law	Wagner	Roshan	N/A	N/A	N/A		2018		N/A	1	
Law Clerks	Labour and Employment	Wagner	Carolyn	N/A	N/A	N/A		2018		N/A	1	
Law Clerks	Labour and Employment	Wagner	Sharon	N/A	N/A	N/A		2015		N/A	1	
Law Clerks	Litigation	Wagner	Sharon	N/A	N/A	N/A		1993		N/A	1	
Law Clerks	Litigation	Wagner	Eleanor	N/A	N/A	N/A		1979		N/A	1	
Law Clerks	Litigation	Wagner	Virginia M.	N/A	N/A	N/A		1991		N/A	1	
Law Clerks	Litigation	Wagner	Patricia	N/A	N/A	N/A		1983		N/A	1	
Law Clerks	Litigation	Wagner	Karen	N/A	N/A	N/A		1991		N/A	1	
Law Clerks	Litigation	Wagner	Lila	N/A	N/A	N/A		2001		N/A	1	
Law Clerks	Litigation	Wagner	Janet	N/A	N/A	N/A		1985		N/A	1	
Law Clerks	Litigation	Wagner	Annie	N/A	N/A	N/A		2012		N/A	1	
Law Clerks	Litigation	Wagner	Wan Chi W.	N/A	N/A	N/A		2016		N/A	1	
Law Clerks	Litigation	Wagner	Daniela	N/A	N/A	N/A		2010		N/A	1	
Law Clerks	Litigation	Wagner	Margaret Ann	N/A	N/A	N/A		2003		N/A	1	
Law Clerks	Litigation	Wagner	Cynthia	N/A	N/A	N/A		1988		N/A	1	

Law Clerks	Stuhls	Kathy	N/A	N/A	1990	N/A	1
Law Clerks	Triller	Lesley-Ann	N/A	N/A	2012	N/A	1
Law Clerks	Vincelli	Christina	N/A	N/A	2018	N/A	1
Law Clerks	Denny	Elizabeth	N/A	N/A	2019	N/A	1
Law Clerks	Deary	Tina	N/A	N/A	2019	N/A	1
Law Clerks	Kwan	Karen F.	N/A	N/A	2011	N/A	1
Law Clerks	Lam	Jessica	N/A	N/A	2017	N/A	1
Law Clerks	OTQuinn	Charles	N/A	N/A	2014	N/A	1
Law Clerks	Rothman	Andre	N/A	N/A	2010	N/A	1
Law Clerks	Yip Tia	Michelle	N/A	N/A	2007	N/A	1
Law Clerks	Berningham	Mariene	N/A	N/A	2008	N/A	1
Law Clerks	Bohmer	Jacquelyn	N/A	N/A	1995	N/A	1
Law Clerks	Chen	Christina	N/A	N/A	2017	N/A	1
Law Clerks	Dunlop	Suzana	N/A	N/A	1998	N/A	1
Law Clerks	Fedyniak	Natasha	N/A	N/A	1983	N/A	1
Law Clerks	Khan	Izabella K.	N/A	N/A	1988	N/A	1
Law Clerks	Kus	Wing Ming	N/A	N/A	2006	N/A	1
Law Clerks	Lau	Kathryn	N/A	N/A	2017	N/A	1
Law Clerks	Mum	Karen K.	N/A	N/A	2017	N/A	1
Law Clerks	Ng	Nicola	N/A	N/A	1983	N/A	1
Law Clerks	Perron	Donna	N/A	N/A	2016	N/A	1
Law Clerks	Real Property and Planning	Shirley C.	N/A	N/A	2006	N/A	1
Law Clerks	Real Property and Planning	Shirley C.	N/A	N/A	2014	N/A	1
Law Clerks	Real Property and Planning	Shirley C.	N/A	N/A	2016	N/A	1
Law Clerks	Tax	Doris	N/A	N/A	2017	N/A	1
Law Clerks	Grand Total						56

Office  
Company  
Toronto  
McCarthy Tetrault

Lawyer Level	Practice Group	Last Name	Law Corporation	Law (Local)	YOC (Local)	Additional Bars YOC	Hire Year	Year Became EP	Count of Employees Name
Students	Client and Markets	Bignato	N/A	N/A	N/A	N/A	2017	N/A	N/A
Students	Professional Resources	Emilie	N/A	N/A	N/A	N/A	2017	N/A	N/A
Students	Professional Resources	Sarah A.	N/A	N/A	N/A	N/A	2017	N/A	N/A
Students	Professional Resources	Angelo D.	N/A	N/A	N/A	N/A	2017	N/A	N/A
Students	Professional Resources	Forest	N/A	N/A	N/A	N/A	2017	N/A	N/A
Students	Professional Resources	Fryderyk	N/A	N/A	N/A	N/A	2017	N/A	N/A
Students	Professional Resources	Greenaway	N/A	N/A	N/A	N/A	2017	N/A	N/A
Students	Professional Resources	Hibbitt	N/A	N/A	N/A	N/A	2017	N/A	N/A
Students	Professional Resources	Kady	N/A	N/A	N/A	N/A	2017	N/A	N/A
Students	Professional Resources	Kalinoski	N/A	N/A	N/A	N/A	2017	N/A	N/A
Students	Professional Resources	Klugberg	N/A	N/A	N/A	N/A	2017	N/A	N/A
Students	Professional Resources	Lubana	N/A	N/A	N/A	N/A	2017	N/A	N/A
Students	Professional Resources	Louvecor	N/A	N/A	N/A	N/A	2017	N/A	N/A
Students	Professional Resources	Luu	N/A	N/A	N/A	N/A	2017	N/A	N/A
Students	Professional Resources	Maik	N/A	N/A	N/A	N/A	2017	N/A	N/A
Students	Professional Resources	Peng	N/A	N/A	N/A	N/A	2017	N/A	N/A
Students	Professional Resources	Rand	N/A	N/A	N/A	N/A	2017	N/A	N/A
Students	Professional Resources	Scutland	N/A	N/A	N/A	N/A	2017	N/A	N/A
Students	Professional Resources	Smith	N/A	N/A	N/A	N/A	2017	N/A	N/A
Students	Professional Resources	Stern	N/A	N/A	N/A	N/A	2017	N/A	N/A
Students	Professional Resources	Thakuram	N/A	N/A	N/A	N/A	2017	N/A	N/A
Students	Professional Resources	Wakay	N/A	N/A	N/A	N/A	2017	N/A	N/A
Students	Professional Resources	Wong	N/A	N/A	N/A	N/A	2017	N/A	N/A
Students	Professional Resources	Andrew J.	N/A	N/A	N/A	N/A	2017	N/A	N/A
Students	Grand Total								24

**Counsel**

**Counsel Total**





Counsel Total	Real Property and Planning Tax	McIvor Falk	Colin Christopher	1990 1991	2006 1996	9 2
Counsel who own Professional Corporations						
Counsel who own Professional Corporations						
Counsel who own Professional Corporations Total						
Grand Total						83

Office	Company	Vancouver	McCarthy Tetrault	Practice Group	Last Name	First Name	Law Corporation	Bar (Local)	YOC (Local)	Additional Bar YOC	Hls YSP	Year Became EP	Count of Employees
				Business Law	Bellon	Sandra	N/A	N/A	N/A			1991 N/A	1
				Business Law	Billingham Meyer	Caroline	N/A	N/A	N/A			1994 N/A	1
				Business Law	Billingham Meyer	Christine	N/A	N/A	N/A			1989 N/A	1
				Business Law	Billingham Meyer	Jill	N/A	N/A	N/A			1990 N/A	1
				Business Law	Cabral	Mizuna	N/A	N/A	N/A			2018 N/A	1
				Business Law	Kozwat	Michelle Zinsli	N/A	N/A	N/A			2003 N/A	1
				Business Law	Ma	Cathy	N/A	N/A	N/A			2003 N/A	1
				Business Law	Wilkinson	Karen	N/A	N/A	N/A			2003 N/A	1
				Litigation	McMillan	Karen	N/A	N/A	N/A			1998 N/A	1
				Litigation	McMillan	Karen	N/A	N/A	N/A			2010 N/A	1
				Litigation Support Services	McMillan	Karen	N/A	N/A	N/A			2003 N/A	1
				Litigation Support Services	McMillan	Karen	N/A	N/A	N/A			2010 N/A	1
				Litigation Support Services	McMillan	Karen	N/A	N/A	N/A			2003 N/A	1
				Litigation Support Services	McMillan	Karen	N/A	N/A	N/A			2010 N/A	1
				Litigation Support Services	McMillan	Karen	N/A	N/A	N/A			2003 N/A	1
				Litigation Support Services	McMillan	Karen	N/A	N/A	N/A			2010 N/A	1
				Litigation Support Services	McMillan	Karen	N/A	N/A	N/A			2003 N/A	1
				Litigation Support Services	McMillan	Karen	N/A	N/A	N/A			2010 N/A	1
				Litigation Support Services	McMillan	Karen	N/A	N/A	N/A			2003 N/A	1
				Litigation Support Services	McMillan	Karen	N/A	N/A	N/A			2010 N/A	1
				Litigation Support Services	McMillan	Karen	N/A	N/A	N/A			2003 N/A	1
				Litigation Support Services	McMillan	Karen	N/A	N/A	N/A			2010 N/A	1
				Litigation Support Services	McMillan	Karen	N/A	N/A	N/A			2003 N/A	1
				Litigation Support Services	McMillan	Karen	N/A	N/A	N/A			2010 N/A	1
				Litigation Support Services	McMillan	Karen	N/A	N/A	N/A			2003 N/A	1
				Litigation Support Services	McMillan	Karen	N/A	N/A	N/A			2010 N/A	1
				Litigation Support Services	McMillan	Karen	N/A	N/A	N/A			2003 N/A	1
				Litigation Support Services	McMillan	Karen	N/A	N/A	N/A			2010 N/A	1
				Litigation Support Services	McMillan	Karen	N/A	N/A	N/A			2003 N/A	1
				Litigation Support Services	McMillan	Karen	N/A	N/A	N/A			2010 N/A	1
				Litigation Support Services	McMillan	Karen	N/A	N/A	N/A			2003 N/A	1
				Litigation Support Services	McMillan	Karen	N/A	N/A	N/A			2010 N/A	1
				Litigation Support Services	McMillan	Karen	N/A	N/A	N/A			2003 N/A	1
				Litigation Support Services	McMillan	Karen	N/A	N/A	N/A			2010 N/A	1
				Litigation Support Services	McMillan	Karen	N/A	N/A	N/A			2003 N/A	1
				Litigation Support Services	McMillan	Karen	N/A	N/A	N/A			2010 N/A	1
				Litigation Support Services	McMillan	Karen	N/A	N/A	N/A			2003 N/A	1
				Litigation Support Services	McMillan	Karen	N/A	N/A	N/A			2010 N/A	1
				Litigation Support Services	McMillan	Karen	N/A	N/A	N/A			2003 N/A	1
				Litigation Support Services	McMillan	Karen	N/A	N/A	N/A			2010 N/A	1
				Litigation Support Services	McMillan	Karen	N/A	N/A	N/A			2003 N/A	1
				Litigation Support Services	McMillan	Karen	N/A	N/A	N/A			2010 N/A	1
				Litigation Support Services	McMillan	Karen	N/A	N/A	N/A			2003 N/A	1
				Litigation Support Services	McMillan	Karen	N/A	N/A	N/A			2010 N/A	1
				Litigation Support Services	McMillan	Karen	N/A	N/A	N/A			2003 N/A	1
				Litigation Support Services									

[illegible]



**es-Alexandre**



**Montreal  
McCarthy Tétrault**

[illegible]

**Montreal**  
**McCarthy Tétrault**

[illegible]

[illegible][illegible]

Office	Company	Practice Group	Jobyer Level	Last Name	First Name	Law Corporation	Bar (Local)	YOC (Local)	Additional Bar, YOC	Hire Year	Year Became EP	Count of Employee Name	
Quebec	McCarthy Tétrault	Practice Group	Students	Deborah Dubuck	Lauren	N/A	N/A	N/A		2019	N/A	1	
			Professional Resources		Ariane	N/A	N/A	N/A		2019	N/A	1	
			Students	Guillaume	Emilie	N/A	N/A	N/A		2019	N/A	1	
			Professional Resources	Hurlin Guay	Justine	N/A	N/A	N/A		2019	N/A	1	
			Students	Quadrupio	Michelle Elsa E.	N/A	N/A	N/A		2019	N/A	1	
			Professional Resources	Sward	Vincent	N/A	N/A	N/A		2019	N/A	1	
			Students	Solher Power	Efraim	N/A	N/A	N/A		2019	N/A	1	
			Professional Resources										
			Students										
			Professional Resources										
Grand Total													

Office  
Company

[illegible][illegible][illegible]

**Source:** Intellectual Property Team  
**People:** Alfred Macchione

**Patent & Trademark Agents**

TKID	Dept	Name	Rank	Lawyer - Yes or No?	Office	Status for 2019
8917	371	Bell, Bethanne	Other	No	Toronto	Active
8922	371	Lee, Young-Mi	Other	No	Toronto	Active
8918	371	Mazerolle, Randy	Other	No	Toronto	Active
104	370	Manansala, Dolores	Other	No	Toronto	Active
824	190	Sauvé, Louise	Other	No	Montreal	Active

McCarthy Tetrault

CLLAS Renewal Submission March 2019

Listing of Lawyers on Secondment as at March 1, 2019

THE INDIVIDUALS LISTED BELOW HAVE BEEN INCLUDED IN THE HEADCOUNT

#	Lawyer			Secondment Details			Responsible Lawyer		
	Last Name	First Name	Home Office	Client Name	Start	End	Last Name	First Name	Rank
1	Bronshteyn	Anna	Montreal	Bell Canada	21-Jan-19	19-Jul-19	Hansen	Robert	Partner
2	Fournier*	Jordan	Montréal	Bell Canada	14-Jan-19	19-Apr-19	Bourne	Fraser	Partner
3	McEwan	Mark	Toronto	Brookfield Asset Management	10-Sep-18	08-Mar-19	Grant	Jonathan	Partner
4	Schneider	Andrea	Toronto	Slate Asset Management LP	21-Jan-19	17-May-19	Cumming	Matt	Partner
5	Wong*	Andrew	Toronto	RBC Capital Markets	07-Jan-19	01-Mar-19	Higa	Richard	Partner

\*Articling Student Secondment

**APPENDIX C**  
**ACTIVE NON-LAWYER COUNSULTANTS OF THE FIRM AS OF MARCH 1, 2019**  
(Excluding Patent & Trademark Agents)

Name of Firm: McCarthy Téroult LLP; McCarthy Téroult Registered Foreign Lawyers and Solicitors; McCarthy Téroult (New York) LLP; McCarthy Téroult (US) LLP

**See attached list and detailed schedules-SECTION 4**

Professional Service Provided or Type of Profession <sup>2</sup>	# Person in Same Profession	Name	(Province)	Client Contact	Advise Clients	Location Supervised by Lawyers	Underlying Insurance <sup>1</sup>	% of Time Docketed
Director, Client Service Delivery	1	Cohen, David	Ontario	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	N/A
Director, Education and Knowledge Integration	1	Hogan, Kathleen	Ontario	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	N/A
Chief Client and Innovation Officer	1	McKay, Judith	Ontario	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	N/A
Senior Director, Strategic Initiatives	1	Vidas, Vanessa	Ontario	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	N/A
Senior Director, Inclusion and Community Engagement	1	Gershbnin, Nicole	Ontario	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	N/A
Executive Director and Lead Counsel, MT>Align	1	Beairsto, Linda	Ontario	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	N/A
Director, Professional Resources	4	Hart, Donna	Ontario	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	N/A
Director, Professional Resources	4	Honsberger, Kathryn	Ontario	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	N/A
Director, Professional Resources	4	Millman, Laura	British Columbia	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	N/A
Director, Professional Resources	4	Goubau Hart, Laure	Quebec	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	N/A
Chief Professional Resources Officer	1	MacAulay, Robin	Ontario	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	N/A
Acting Senior Director, Professional Resources	1	McGowan, Leigh-Ann	Quebec	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	N/A
Senior Director, Professional Resources	2	Rogakos, Gina	Ontario	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	N/A
Director of Inclusion,	1	Tam,	British	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	N/A



Professional Resources  
Senior Director,  
Professional Resources  
Director, Business Law and  
Real Property  
Paraprofessionals

Susanna  
Trott, Nancy  
Youngson,  
Naomi  
Columbia  
British  
Columbia  
British  
Columbia

2  
1

<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	N/A
<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	N/A

MT>3 Contract Lawyers

8 N/A Ontario

<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	N/A
---	-----------------------------	---	-----------------------------	---	-----------------------------	-----

**SECTION B**

Please provide the following details on the underlying insurances purchased and attach a copy of the policies:

Type of Exposure:

Insurance Carrier:

Policy Number:

Period of Insurance:

Retroactive Date:

Limits:

\$ \_\_\_\_\_ per claim, \$ \_\_\_\_\_ aggregate

Limits:

\$ \_\_\_\_\_ per claim, \$ \_\_\_\_\_ aggregate

<sup>11</sup> If underlying insurance is purchased, please complete Section B.

<sup>12</sup> PLEASE COMPLETE THIS COLUMN ONLY FOR INDIVIDUALS WHO ARE NOT ACTING UNDER THE SUPERVISION OF A LAWYER AND FOR THAT PORTION OF TIME THE INDIVIDUAL IS NOT ACTING UNDER THE SUPERVISION OF A LAWYER.

**APPENDIX D**  
**“ASSOCIATED FIRMS” AND “UMBRELLA FIRMS”**

---

Name of Firm: McCarthy Tétrault LLP; McCarthy Tétrault Registered Foreign Lawyers and Solicitors; McCarthy Tétrault (New York) LLP; McCarthy Tétrault (US) LLP

- The Named Insureds under the CLLAS policies are McCarthy Tétrault LLP; McCarthy Tétrault Registered Foreign Lawyers and Solicitors, McCarthy Tétrault (New York) LLP and McCarthy Tétrault (US) LLP.
- McCarthy & McCarthy merged in 1989 with two Canadian firms, Black & Company of Calgary and Shrum, Liddle & Heberton of Vancouver, and the combined firm merged with Clarkson Tétrault of Montréal in 1990 and all offices practised under the name of McCarthy Tétrault. These mergers were accomplished by the partners of Black & Company, Shrum, Liddle & Heberton and Clarkson Tétrault respectively joining McCarthy & McCarthy and by McCarthy & McCarthy changing its name to McCarthy Tétrault.
- The Firm has Canadian offices in Toronto, Montréal, Québec City, Calgary and Vancouver. The Firm closed its Ottawa office in September, 2010.
- On January 1, 1999, McCarthy Tétrault established a partnership in London, England for the practice of English law. The partnership is known as McCarthy Tétrault, Registered Foreign Lawyers and Solicitors. This partnership practises English and Canadian law.
- In 2000, McCarthy Tétrault established an office in New York in the premises of the New York law firm Fried, Frank, Harris, Shriver & Jacobson LLP. In 2004, McCarthy Tétrault LLP established McCarthy Tétrault (New York) LLP to carry on the business of its New York office. Subsequently, the New York office was closed.
- Effective January 1, 2017, McCarthy Tétrault LLP acquired the business of Susan Wortzman Professional Corporation and Susan Wortzman became a partner of the firm.
- In 2017, McCarthy Tétrault LLP established a new operating entity, McCarthy Tétrault (US) LLP. It is located in New York, New York.
- Coverage is provided to the predecessor firms to McCarthy Tétrault, namely, McCarthy & McCarthy, Black & Company, Shrum, Liddle & Heberton, Clarkson Tétrault and Susan Wortzman Professional Corporation.
- In 2018, McCarthy Tétrault LLP, along with a partner of McCarthy Tétrault LLP, incorporated a new entity called 2638420 Ontario Ltd., doing business under the name of MT>Play ("MT>Play"). McCarthy Tétrault LLP is a 50% shareholder of MT>Play, and the McCarthy Tétrault LLP partner referred to above is a 50% shareholder. MT>Play provides services to the gaming industry. It purchases a separate professional liability insurance policy with a policy limit of \$1,000,000 per occurrence and in the aggregate annually.

## APPENDIX E

### PROFESSIONAL SERVICES PROVIDED RELATING TO NON-CANADIAN LAW & PROFESSIONAL SERVICES PROVIDED IN THE U.S. & OUTSIDE OF CANADA

Name of Firm:	McCarthy Tétrault LLP; McCarthy Tétrault Registered Foreign Lawyers and Solicitors; McCarthy Tétrault (New York) LLP; McCarthy Tétrault (US) LLP
---------------	--

#### 1. Professional Services Provided by Canadian Lawyers Relating to Non-Canadian Law

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services relating to non-Canadian law (not including those which are incidental to the practice of Canadian law). Please only report on lawyers with more than 5% of docketed time in this category.

<u>Name of Lawyer</u>	<u>Location of Office</u>	<u>% of Docketed Time Relating to Non-Canadian Law</u>
Chalmers, Scott	Toronto	100
Marques, Frederico	Toronto	100
Bond, Alison	Toronto	50
Al-Shakarchi, Heba	Toronto	30
Merry, Curtis	Calgary	19
Currie, John	Toronto	16
Langdon, Christopher	Toronto	15
Ellam, Timothy	Calgary	10
Jawanda, Pavan	Vancouver	10
Plummer, George	Toronto	10
Wang, Bobby	Toronto	10
Costin, Abraham	Toronto	9
Melanson, Lisa	Toronto	8
Robb, Nathan	Calgary	7
McMullen, Danny	Toronto	6
Gage, James	Toronto	6
Ho, Adrienne	Toronto	6

#### 2. Professional Services Provided by Canadian Lawyers from a U.S. Office.

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services part time in an office or branch of the Firm located in the United States. Please only report on lawyers with more than 5% of docketed time in this category.

<u>Name of Lawyer</u>	<u>Location of Office</u>	<u>% of Docketed Time in the U.S. Office</u>
Pleasant, Jeremy	New York	95%

### 3. Professional Services Provided by Offices Outside Canada

Please provide the following information on all lawyers reported in Appendix B under the "Outside of Canada" column.

Name of Lawyer	Location of Office	# of Lawyers Practise 100% Canadian Law	# of Lawyers Practise 100% Non-Canadian Law	# of Lawyers Practise both Canadian & Non-Canadian Law
Cumming, Matthew	New York, USA	X		
Pleasant, Jeremy	New York, USA	X		
Murray, John	New York, USA	X		
Reason, Allan	London UK		X	
Benson, Tina	London UK			X
Sathyamoorthy,	London UK			X
Brant, Robert	London UK			X
Heinanen, Ibi	London UK		X	
Byrne, Katrina	London UK			X
Wanke, Adam	London UK	X		
DaSilva, Kate	London UK		X	
Massey, Caillan	London UK		X	

### 4. Other Insurance

For the exposures identified in Questions 1, 2 and 3 above, please provide details of specific insurance protection (e.g. coverage provided for a non-Canadian office or by a non-Canadian law society) as well as copy of policies.

Type of Exposure:	Lawyers in the U.K., non-Canadian lawyers (including lawyer patent and trademark agents) in Canadian offices (other than Vancouver office) and patent and trademark agents.
Insurance Carrier:	CLLAS International
Policy Number:	596377247
Period of Insurance:	September 30, 2018 to September 30, 2019
Retroactive Date:	None
Limits:	US\$30 million each claim/aggregate
Deductible	US\$75,000 per claim for U.K. work US\$50,000 per claim for U.S. work

## APPENDIX F

### SCHEDULE OF CLAIMS AND NOTICES AS OF MARCH 27, 2019

---

Name of Firm: McCarthy Tétrault LLP; McCarthy Tétrault Registered Foreign Lawyers and Solicitors;  
McCarthy Tétrault (New York) LLP; McCarthy Tétrault (US) LLP

A handwritten signature in black ink, appearing to be 'JLP', with a long horizontal stroke extending to the right.









Claim No	Insured	Claimant	Report Date	Error Date	Close Date	Claim Disposition Abbr	Law Society				CLAS				
							LS Indemnity Paid	LS Legal Paid	LS Indemnity Reserve	LS Legal Reserve	LS Incurred Liability	CLAS Indemnity Paid	CLAS Legal Paid	CLAS Indemnity Reserve	CLAS Legal Reserve
CLAS1993-036	ALAN J. LENCZNER	D. H. CARRUTHERS	31 Oct 1992	31 Oct 1992	09 Sep 1993	CIP	\$ 15,000	\$ 7,032	\$	\$	\$ 42,042	\$	\$	\$	\$
CLAS1993-040	A. BRIEN KERR	Vincent L. Bocky/Lamour Arbitration/Mediation Serv	25 Nov 1992	30 Oct 1994	21 Jun 1994		\$	\$ 5,441	\$	\$	\$ 5,441	\$	\$	\$	\$
CLAS1993-045	CONNOR D. MCCOURT	INVEST INC	07 Dec 1992	04 Aug 1992	27 Sep 1993	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS1993-050	JULIE CHIN TIT	RICHARD W. RICHARD (AMI X)	17 Dec 1992	03 Dec 1992	20 May 1993	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS1993-055	KELLY LIDDIE	ESTATE OF ROY LOBBE PALMER	06 Jan 1993	11 Mar 1970	28 Oct 1993	SIR	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS1993-059	SUSAN LUSTIGER	Daphne Johnston	29 Jan 1993	01 Jan 1990	07 Sep 1993	NCP	\$	\$ 2,232	\$	\$	\$ 2,232	\$	\$	\$	\$
CLAS1993-060	PAUL A. VINNI	Dalia Business Forms Ltd./Jean Gwy Leblanc	21 Jan 1993	05 Nov 1991	31 Mar 1994		\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS1993-061	MAURILEN BOYD CLARK	Arthur Andersen Inc./Jing. of Bk of Credit & Comm.	03 Feb 1993	06 Nov 1992	30 Nov 1993	SIR	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS1993-072	JULIE CHIN TIT	Dr. Raymond Moskaluk	26 Feb 1993	01 Jan 1993	06 Apr 1995	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS1993-082	DAVID A. KNOX	CANINE 1 COMMUNICATION COMMUNICATIONS NETWORK INC	18 Mar 1993	02 Mar 1993	11 Oct 1996	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS1993-100	ROBERT L. SWEILL	Arlette Ricci	25 Feb 1993	17 Feb 1993	26 May 1994	SIR	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS1993-102	D. ROY	North American Van Lines Canada Ltd 993-122	14 Apr 1993	01 Jun 1993	01 Jun 1993	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS1993-103	ANDREW D. CHANT	10 Partners Ltd	30 Apr 1993	09 Dec 1992	29 Sep 1995	NCP	\$	\$	\$	\$	\$ 2,777	\$	\$	\$	\$
CLAS1993-104	JAMES W. WILLIAMS	Philip Garratt	27 Apr 1993	01 Oct 1988	12 May 1995	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS1993-108	John G.R. Thord	See file 92-181	13 May 1993	30 Jun 1993	30 Jun 1993	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS1993-120	Susan J. Baggar	BANK RECEIPTS/COMMERCIAL CAN	30 Jun 1993	30 Sep 1993	30 Jun 1996	NCP	\$	\$ 11,644	\$	\$	\$ 13,183	\$	\$	\$	\$
CLAS1993-122	FRANCESCA P. PATTERSON	North American Van Lines Canada	01 Jun 1993	27 Mar 1995	27 Mar 1995	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS1993-136	JOHN H. C. CLARRY	Shari Rossman	30 Jun 1993	31 Mar 1996	30 Jun 1994	NCP	\$	\$ 13,154	\$	\$	\$ 12,793	\$	\$	\$	\$
CLAS1993-157	CATHY RINI M. PATTERSON	Erla Burke	01 Jun 1993	30 Jun 1993	30 Jun 1994	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS1994-002	HEMIAN H. SILVER	GRIFFIN CANADA INC	22 Jul 1993	01 Jun 1987	14 Feb 1995		\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS1994-005	ANDREW J. RIDDON	Kern Clowson	31 Aug 1993	01 Sep 1991	11 Jul 2002		\$ 15,000	\$ 10,077	\$	\$	\$ 45,077	\$	\$	\$	\$
CLAS1994-007	LOUISE HOULL	HARCLAYS BK OF CANADA/HYDRO P 1 INC	13 Jul 1993	02 Nov 1992	28 Mar 1995	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS1994-017	A. BYRAN GIRON	Hong Kong Construction Ltd	09 Sep 1993	01 Dec 1984	09 Dec 1994	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS1994-019	Kirby Clowson	George and Kate MacNabb	14 Sep 1993	01 Nov 1991	31 Dec 1994	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS1994-020	Michelle H. Gropper	Waverly Ventures Ltd.	09 Sep 1993	31 Oct 1991	21 Jun 1994	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS1994-028	Abraham Costin	The Toronto Dominion Bank	01 Oct 1993	01 Aug 1990	05 Jun 1995	NCP	\$	\$ 18,853	\$	\$	\$ 18,853	\$	\$	\$	\$
CLAS1994-029	ROBERT J.M. JAMES	UMA Engineering Ltd.	29 Sep 1993	01 May 1993	30 Nov 1995	NCP	\$	\$ 16,573	\$	\$	\$ 16,573	\$	\$	\$	\$
CLAS1994-030	John W. Pearson	RICHARD RADCLIFF	06 Oct 1993	11 Jun 1979	30 Sep 1997	NCP	\$	\$ 16,845	\$	\$	\$ 16,845	\$	\$	\$	\$
CLAS1994-034	JULIE LANCLOT	Les Immeubles Household Limited	15 Oct 1993	05 Oct 1993	12 Apr 1994	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS1994-036	Marc Lemieux	Banque Nationale du Canada/Van-aw Inc	20 Oct 1993	29 Mar 1993	29 Apr 1994	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS1994-038	David Phillips	Royal Trust Company	18 Oct 1993	02 Jun 1993	31 Mar 1999	NCP	\$	\$ 2,997	\$	\$	\$ 2,997	\$	\$	\$	\$
CLAS1994-048	ROBERT L. SWEILL	V.M. Prescott Ltd./Mr. & Mrs. Prescott	04 Nov 1993	07 Jun 1988	17 Jun 1997	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS1994-064	Les Vogt	Ronald Wilkom	16 Nov 1993	01 Oct 1993	30 Jun 1996	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$

Claim No	Insured	Claimant	Report Date	Error Date	Close Date	Claim Disposition Abbr	Law Society				CLAS					
							LS Indemnity Paid	LS Legal Paid	LS Indemnity Reserve	LS Legal Reserve	LS Incurred Liability	CLAS Indemnity Paid	CLAS Legal Paid	CLAS Indemnity Reserve	CLAS Legal Reserve	CLAS Incurred Liability
CLAS1994-065	ALAN SCHAPIRO	DOMINION CONSTRUCTION & DEVELOPMENT LTD	15 Oct 1993	01 Dec 1993	30 Jun 1995		\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS1994-084	Abraham Costin	General Trust Corporation	01 Jan 1994		06 Jul 1994		\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS1994-086	Nicholas A. Blom	THOMPSON MOUNTAIN SPORTSMEN	29 Dec 1993	01 Jun 1994	27 Mar 1996	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS1994-090	Alan et al Brown et al	Iron Bell	17 Mar 1994	01 Nov 1992	28 Sep 1994	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS1994-093	PAUL F BRAYLON	CANADIAN MEDICAL PROTECTION ASSOCIATION	01 Mar 1994	31 May 1985	07 May 2002	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS1994-098	Annette Wilkins	Corral LVP Systems (VCC) Ltd	23 Mar 1994	01 Nov 1993	21 Nov 1994		\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS1994-118	Sally et al Gomersy et al	La Martiniere, Cie d'assurance	15 Apr 1994		28 Aug 1995	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS1994-119	Serge Haman	Christina Finney	04 May 1994	31 Dec 1993	31 Mar 1995	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS1994-121	Abraham Costin	Scott's Hospitality Inc	17 May 1994	01 Jan 1994	28 Mar 1995	NCP	\$	3,487	\$	\$	3,487	\$	\$	\$	\$	\$
CLAS1994-130	Glen Bowman	2946-1726 Quebec Inc	17 May 1994	25 Apr 1994	26 Feb 1996	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS1994-135	Daniel Benay	Walter Spitzer	09 Jun 1994		18 Jul 1995	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS1994-136	Douglas Fugens	Interprovincial Pipeline Inc	25 May 1994	01 Sep 1991	10 Jul 1994	NCP	\$	902	\$	\$	902	\$	\$	\$	\$	\$
CLAS1994-138	Georgina Quijano	Sherrin Minerals	10 Jun 1994	30 Nov 1987	31 May 1996		\$	7,979	\$	\$	7,979	\$	\$	\$	\$	\$
CLAS1994-183	Louis Grette	COIRA, SA	11 Feb 1994		30 Mar 1996	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS1995-011	Sylvie Lathapelle	Stuart Gimay	30 Aug 1994		20 Mar 1995	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS1995-012	David Armstrong	Stuart House/B. Harding Sr	02 Sep 1994	01 Sep 1992	24 Oct 1996		\$	82,095	\$	\$	82,095	\$	\$	\$	\$	\$
CLAS1995-018	JAMES A. THIRLL	Allen Sewell	10 Sep 1994	01 Dec 1987	31 Dec 1996	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS1995-019	Michael Dennis et al	Castor Holdings Inc	12 Oct 1994		30 Jun 2003	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS1995-036	Francis Amyot	Bodyguard Development Corporation	25 Oct 1994	07 Jul 1992	14 Jul 1995	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS1995-040	Daryl E. McLean	Allen Glushakow et al	04 Nov 1994		10 Nov 1994		\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS1995-048	Paul Robert Stopp	Claudio A. Carnegie	31 Dec 1994	01 Dec 1992	25 Feb 1997	NCP	\$	12,334	\$	\$	12,334	\$	\$	\$	\$	\$
CLAS1995-049	Marc Gorman	Caparco Constructeur Inc.	27 Nov 1994		03 Feb 1997	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS1995-057	Robert L. Wright	Halliday Grain Elevator Limited	01 Dec 1994	05 Dec 1993	30 Sep 1998	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS1995-057b	Robert L. Wright	Cadet Cleaners / Grayker Corporation	30 Dec 1994	05 Dec 1993	30 Sep 1998	NCP	\$	8,117	\$	\$	8,117	\$	\$	\$	\$	\$
CLAS1995-071	Walter Cowper Smith	Montreal Trust Company of Canada	29 Dec 1994	01 May 1991	06 Nov 1995		\$	1,170	\$	\$	1,170	\$	\$	\$	\$	\$
CLAS1995-075	Michael D. Lewinson	Viam Properties Ltd.	05 Jan 1995	24 Aug 1994	26 Mar 1996	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS1995-088	Peter/Elizabeth Pagnon/Voigt	Place Bonaventure	24 Feb 1995	01 May 1993	19 Jun 1996	SIR	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS1995-092	Sally Gomersy	Country Style Donuts and French Food Ideas	21 Mar 1995		31 Mar 2002	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS1995-105	Phillipe LeClerc	3M Canada Inc.	28 Mar 1995		10 Jul 1996	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS1995-117	Dominique Tetu	Canada Life Assurance Co	04 Apr 1995	06 Oct 1992	03 Nov 1995	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS1995-120	Francis Wright	Matthew and Lynne Creighton	26 Jul 1994	01 Jan 1989	12 Sep 1994	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS1995-121	Thomas J. Corbett		05 May 1991	01 Mar 1992	25 Feb 1997	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS1995-124	M.H. / P.H. Groppe/Kenw	Bentall Corporation	10 May 1995	02 Nov 1993	31 May 1996		150,000	21,864	\$	\$	171,864	\$	\$	\$	\$	\$







Claim No	Insured	Claimant	Report Date	Error Date	Close Date	Claim Disposition Abbr	Law Society						CLAS			
							LS Indemnity Paid	LS Legal Paid	LS Indemnity Reserve	LS Legal Reserve	LS Incurred Liability	CLAS Indemnity Paid	CLAS Legal Paid	CLAS Indemnity Reserve	CLAS Legal Reserve	CLAS Incurred Liability
CLLAS1999-153	Richard Shaw	Canadian Oil Sands	09 Jun 1999	31 May 1999	31 Mar 2003	NCP	\$	\$ 1,903	\$	\$	\$ 1,903	\$	\$	\$	\$	\$
CLLAS1999-154	Julian Welch	Canadian Niagara Power	30 Jun 1999	31 May 1999	11 Nov 1999	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLLAS1999-155	Adam D. Vorechack	Cra Vie Industries Inc.	30 Jun 1999	01 Apr 1999	29 Jul 1999	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLLAS1999-162	Beverly Ellingson	Greystone Real Estate Corp	30 Jun 1999	30 Sep 1998	05 Jun 2000	NCP	\$	\$ 25,193	\$	\$	\$ 25,193	\$	\$	\$	\$	\$
CLLAS1999-163	Richard Ballour	MacMillan Bloedel Limited	30 Jun 1999	30 Jun 1999	27 Feb 2002	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLLAS2000-083	Abraham Crestin	Montreal Life Assurance et al	29 Jun 1999	20 Dec 1998	31 Mar 2000	NCP	\$	\$	\$	\$	\$ 1,779	\$	\$	\$	\$	\$
CLLAS2000-017	Sharon Grauer	Nikola.com Inc.	10 Sep 1999	07 Sep 1999	17 Sep 1999	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLLAS2000-018	Robert Jean Chénier	CMIPA	12 Jul 1999	21 Sep 1999	21 Sep 2001	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLLAS2000-022	Victor Lalonde	Lifestart Multimedia Corp.	21 Sep 1999	30 Sep 1999	30 Sep 1999	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLLAS2000-027	Laurent Desbrun	L.P. Mc Fleurette Brunet	29 Sep 1999	29 Sep 1999	23 May 2000	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLLAS2000-028	R. Barry Fraser	Jon Stewart/Rhyolite Resources	28 Sep 1999	30 Sep 1999	30 May 2001	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLLAS2000-029	Andrew Kennedy	Pepsi Cola Canada Ltd	01 Oct 1999	19 Aug 1997	31 Jan 2000	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLLAS2000-030	RUSSELL G. RINSON	Blackcomb Skiing	07 Sep 1999	30 Sep 1999	30 Jun 2000	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLLAS2000-055	Jean-François Bouswou	Dennis Benoit and Marie-Claude Landry	09 Nov 1999	09 Nov 1999	29 Jan 2010	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLLAS2000-056	ALAN SCHAPIRO	Western Properties Ltd	15 Nov 1999	31 May 2001	31 May 2001	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLLAS2000-057	Warren Milman	Rhyolite Services Inc.	27 Sep 1999	31 Dec 2008	31 Dec 2008	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLLAS2000-058	James Menard	Metallgesellschaft Group	27 Oct 1999	30 Sep 1999	30 Sep 2007	NCP	\$	\$	\$	\$	\$ 1,000,000	\$ 6,360,506	\$ 244,873	\$	\$	\$ 6,605,779
CLLAS2000-059	Barbara A. McWane	Kempax International Inc./Juck Rensay	18 Oct 1999	20 Dec 1996	13 Dec 1999	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLLAS2000-060	Steven Rapkin	Super Electric and Tour Electronics	05 Oct 1999	20 Aug 1999	21 Oct 1999	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLLAS2000-061	Brian I. Daly	I Bern Robertson	08 Oct 1999	24 Feb 1999	05 Apr 2000	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLLAS2000-062	Debra A. Fromm	SUN LIFE ASSURANCE COMPANY OF CANADA	14 Dec 1999	10 Jul 1999	24 Jul 2002	-	\$	\$ 6,071	\$	\$	\$ 6,071	\$	\$	\$	\$	\$
CLLAS2000-065	Keith Burrell	Abdul R. Monhammad Al Zarooni/Zanko Trust	16 Dec 1999	16 Dec 1999	30 Sep 2002	NRP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLLAS2000-068	Keith I. Burrell	Abdul Rabi Zarooni	16 Dec 1999	16 Dec 1999	30 Jun 2000	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLLAS2000-072	Francois Giroux	Trajan Cabla	31 Jan 2000	16 Nov 2000	16 Nov 2000	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLLAS2000-073	Sylvain Desburets	Corporation de developpement d'Altares Mathieu	18 Jan 2000	01 Feb 2001	01 Feb 2001	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLLAS2000-074	Sonia Struthers	Societe Generale - Fonds commun de placement	20 Jan 2000	20 Jan 2000	05 Mar 2015	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLLAS2000-079	R. Paul Beckmann	Carmen Osilla (Estate of Benjamin B. Bell)	02 Feb 2000	02 Feb 2000	31 Dec 2007	NCP	\$	\$ 16,274	\$	\$	\$ 273	\$	\$	\$	\$	\$
CLLAS2000-080	Anne Marie Sheddun	Metaforia Diversissements Inc.	07 Feb 2000	07 Feb 2000	01 Mar 2001	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLLAS2000-090	Athanasia Bitrakidis	Janusz Sulecki	28 Feb 2000	03 Feb 2000	10 Mar 2015	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLLAS2000-096	Mireille Fontaine	L. P. Daniel G. Mack and Edward H. Mack	10 Mar 2000	06 Apr 2000	06 Apr 2000	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLLAS2000-101	Winton Derby	GSI Telecommunications Inc/Gishal Light	13 Mar 2000	19 Apr 1999	27 Nov 2000	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLLAS2000-110	Edward I Wood	David and Susan Shuttleworth	18 Apr 2000	01 Feb 1996	31 Aug 2011	NCP	\$	\$ 32,800	\$	\$	\$ 32,800	\$	\$	\$	\$	\$
CLLAS2000-114	A. Dunbar Grace	Jacob's Hold Inc.	10 Apr 2000	11 Sep 1999	06 Dec 2000	NCP	\$	\$ 19,677	\$	\$	\$ 19,677	\$	\$	\$	\$	\$



Claim No	Insured	Claimant	Report Date	Error Date	Close Date	Claim Disposition Abbr	Law Society						CLAS			
							LS Indemnity Paid	LS Legal Paid	LS Indemnity Reserve	LS Legal Reserve	LS Incurred Liability	CLAS Indemnity Paid	CLAS Legal Paid	CLAS Indemnity Reserve	CLAS Legal Reserve	CLAS Incurred Liability
CLAS2001-075	Dennis H. Wood	Palletta International Corporation	05 Feb 2001	01 Jan 2001	16 Apr 2001	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2001-086	Stephen Scholtz	Maureen Bennett	28 Feb 2001	10 Feb 1997	07 Jun 2001	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2001-088	Christopher Wayland	Nathan Zych	06 Mar 2001	20 Dec 2000	15 Jun 2001	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2001-093	E. Paul Morrison	Nedcorp Group Inc	09 Mar 2001	11 Sep 2000	20 Jun 2001	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2001-100	John Miralles	Marina Kim and Kim Smoulder-Reed Inc	04 Apr 2001	29 Mar 2001	23 May 2001	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2001-104	Brian Feil	Whitby Auto Auction Ltd	18 Apr 2001	14 Mar 1995	02 Jun 2001	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2001-115	Imanah Huet	Horst Dusseldorff Kollektion	20 Apr 2001		31 Dec 2008		\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2001-116	Philip L. Sanford	Brown Chevrolet Oldsmobile Ltd	25 Apr 2001		07 May 2001	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2001-131	Louis Brouseau	George Paskalis (John's Town of Beau d'Or)	28 May 2001	28 May 1998	10 Mar 2015	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2001-132	John Pearson	Ricci Enterprises Inc.	27 Dec 2000	31 Dec 1999	28 Sep 2001	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2001-140	William Orved	Novo Nordisk A/S	25 Jun 2001	23 Feb 2000	24 Jan 2001	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2001-141	Terresa J. Walsh	Stephen Smith et al	28 Jun 2001	01 Sep 2000	31 Dec 2001	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2001-144	Byran Gibson	Prayer Valley Credit Union and Tidewater Credit Un	29 Jun 2001	30 Apr 2009	30 Apr 2009	SIR	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2001-145	Peter Pagnan	Ry Court Financial Services Ltd	29 Jun 2001		27 Jun 2002	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2001-146	Jim Tierlie	Canada Life Assurance Company	29 Jun 2001		28 Nov 2008	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2001-147	ALAN H. BROWN	Julian (Buddy) Smith and Mil Van Holdings Ltd	29 Jun 2001		30 Apr 2004	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2001-150	Steve Whittier	Dr. David Hyman Perimeter	27 Jun 2001		10 Mar 2015	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2001-151	Amin Laradi	Societe D'hypothecque CHIC	28 Jun 2001		16 Dec 2013	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2001-152	Judith Woods	American Airlines Inc	29 Jun 2001	23 Nov 2000	29 Nov 2005		\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2001-160	Stuart F. Byrth	Dale Massey, Steve Brown and Spangrass	20 Jul 2000		22 Dec 2010	ECs	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2002-009	Keith Burrell	2725112 Canada Inc/Pontiac Capital Mgmt Inc	01 Aug 2001		17 Jun 2006	CPE	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2002-012	Robert Couper	Frederick H. MacKenzie (estate of Dr. Herbert B.)	07 Aug 2001		29 Nov 2002	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2002-017	Christiane Jodoin	Renov Impact Inc	07 Aug 2001		17 Feb 2003		\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2002-018	Jacques Jeansonne	Gancette Belisle	07 Aug 2001		10 Mar 2015	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2002-025	Duncan MacKae	James and Jennifer Beqal	22 Aug 2001	21 Dec 1995	05 Sep 2001	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2002-026	Maria Lewycky	Lafarge Canada Inc. et al	24 Aug 2001	29 Jan 2001	21 Aug 2002	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2002-028	John P. Brown	Muhammad Beqal Zakaria	27 Aug 2001	01 Jun 1996	23 Nov 2010		\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2002-036	Peter C. Johnson	BANK OF MONTREAL	11 Sep 2001	15 Nov 1999	23 Dec 2002	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2002-037	Michel Gagne	Robert Sladok	20 Sep 2001		04 Nov 2002	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2002-038	Sylvain Vauriaux	Olga Desnoes	20 Sep 2001		30 Sep 2002	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2002-063	David Harley	Bicoview Childrens Hospital Foundation	24 Sep 2001	06 Jun 1995	13 Feb 2003	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2002-044	G.P.H. VINON	Elizabeth Jane Barford	25 Sep 2001	23 Jun 1988	23 Jan 2002	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2002-045	Beverly Ellingson	Dr. Jose Maria De Arana Gargallo	25 Sep 2001		28 Dec 2001	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$













Claim No	Insured	Claimant	Report Date	Error Date	Close Date	Claim Disposition Abbr	Law Society						CLAS			
							LS Indemnity Paid	LS Legal Paid	LS Indemnity Reserve	LS Legal Reserve	LS Incurred Liability	CLAS Indemnity Paid	CLAS Legal Paid	CLAS Indemnity Reserve	CLAS Legal Reserve	CLAS Incurred Liability
CLAS2005-120	Alan Brown	Irwin S. Health	04 Feb 2005		31 Oct 2006		\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2005-121	Christopher White	John Noel Home for the Aged	09 Feb 2005		12 May 2010		\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2005-123	Christine L. Lonsdale	Cynthia Meek	10 Feb 2005		08 Jun 2006		\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2005-124	Reck McHardy	Luc Chartrand et al	08 Feb 2005		10 Mar 2005	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2005-129	William Scott	Tabco	16 Feb 2005	01 Oct 2003	06 Sep 2005	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2005-134	Caroline Zayed	Lonco Ltd.	21 Feb 2005	17 Dec 2004	20 Apr 2005	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2005-135	Sarah Gallwitz/PR&I Partnership	Lensira Developments Ltd	22 Feb 2005		26 Apr 2005	NIR	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2005-136	Alfred Marchione	PDQ Auto Auctions	22 Feb 2005	28 Dec 2004	15 Aug 2005	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2005-138	Alfred Marchione	Scannak Inc.	23 Feb 2005	01 Sep 2004	31 May 2005	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2005-139	Caroline Zayed	Manitoba Cancer Foundation	23 Feb 2005		20 Apr 2005	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2005-140	Owen Delan	1 State of Louisiana May Joyce MacCormac	21 Feb 2005		31 Oct 2006	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2005-141	Caroline Zayed	Franco Massachusetts	25 Feb 2005	01 Jun 2004	20 Apr 2005	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2005-163	Elizabeth Junkin	Multilingual Orientation Services et al	29 Mar 2005	11 Feb 2005	11 Oct 2006	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2005-167	Timothée Huot	Sylvie Laperriere	27 Apr 2005		31 Dec 2008		\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2005-169	Janet Gordon O'Reilly	World Wide Usain Partnership	28 Apr 2005		28 Dec 2006	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2005-173	John Kruk	Graham & Cumbar, Investment Counsel Ltd	08 Apr 2005		08 Nov 2005	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2005-177	Louis Brousseau	Imperial Tobacco Ltd	11 Apr 2005				\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2005-183	Caroline Zayed	Various P&I claims	28 Apr 2005		23 Aug 2006	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2005-195	Stephen Grant	Christine Davis	26 May 2005	12 Apr 2005	30 Nov 2005	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2005-208	Robert P. Beckmann	1 State of Louisiana	13 Apr 2005		31 Dec 2008		\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2005-213	Tom McKinlay	Bank of Nova Scotia	30 May 2005		23 Aug 2006	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2005-219	John Currie	Bank of Nova Scotia	23 Jun 2005		17 Aug 2005	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2005-220	Michael Polowin	Calabogie Peaks Inc.	28 Jun 2005		30 Sep 2005		\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2005-221	Alfred Marchione	Arcatel Canada	27 Jun 2005	22 May 2005	29 Jul 2005	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2005-222	Caroline Zayed	Sacramento (2003) Ltd.	27 Jun 2005	10 Mar 2005	31 Dec 2008		\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2005-223	Jacob Glick	Dr. Reuben Baron	28 Jun 2005		01 Sep 2005	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2005-224	Kenneth Morris	Bingo Press & Speciality Limited	29 Jun 2005		31 Oct 2005	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2005-226	Mark-Andre Landry	312402 Canada Inc (Account Payable Chess Inc)	30 Jun 2005		18 Jul 2005	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2005-227	Stephanie Julien	Josee Lemelin and Jacques Boulanger	30 Jun 2005		18 Jul 2005	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2005-228	Michel Lapointe	Dr. Claude Auger	19 Jul 2005		18 Jul 2005	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2005-229	Nancy Elzer	Hertz Equipment Rental	30 Jun 2005		25 Jul 2006	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2005-230	James Warnock	Macquarie North America Ltd.	30 Jun 2005		21 Nov 2005		\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2005-231	Robert Doyle	Leonora Vetere	30 Jun 2005		30 Jun 2005	SNC	\$	\$	\$	\$	\$	\$	\$	\$	\$	

Claim No	Insured	Claimant	Report Date	Error Date	Close Date	Claim Disposition Abbr	Law Society						CLAS			
							LS Indemnity Paid	LS Legal Paid	LS Indemnity Reserve	LS Legal Reserve	LS Incurred Liability	CLAS Indemnity Paid	CLAS Legal Paid	CLAS Indemnity Reserve	CLAS Legal Reserve	CLAS Incurred Liability
CLAS2005-232	Daniel Johnson	Yves Michaud et l'Association de protection et al	30 Jun-2005		10 Jun-2005	SNC	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2005-233	Peter Harris	Stun Canada Inc.	30 Jun-2005		30 Jun-2005	SNC	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2005-234	Stephen Furlan	Stevens Company Limited	30 Jun-2005		17 Aug-2005	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2005-238	Aaron Engler	David Lewens et al	10-Nov-2004		20 Jun-2007	NCP	\$	\$	1,362	\$	\$	\$	\$	\$	\$	\$
CLAS2006-003	Jeffrey Freedlander	Matters relating to Peter Krause's Detwate Residences (Int 2) (derogating allowance)	12-Jul-2005	09 Nov-2003	29 Dec-2005	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2006-006	Russell Berman		18 Jul-2005		31 Dec-2005		\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2006-016	Joyce Lee	NRIY Acquisition Inc. and NRIY Inc.	26 Aug-2005	01 Jun-2005	31 Oct-2008	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2006-020	Nicolas R. Hughes*	First Nations Summit Society	14-Nov-2005	01 Jan-2005	31 Mar-2006	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2006-026	Lushe Spurlison	Susan Fisher/J. Statler of Hazelbush Lawson	26 Nov-2005		11 Mar-2007	SIR	\$	\$	2,984	\$	\$	\$	\$	\$	\$	\$
CLAS2006-028	Gerald P. Sadovni	Joyce Linette	14 Sep-2005	01 Apr-2002	20 Sep-2005	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2006-035	Graig Shreffel	Carmeneta Obillo	25 Oct-2005	24 Jun-2002	28 Dec-2007		\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2006-036	Karen Ruess	Heather Prior	04 Nov-2005		11 Nov-2005	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2006-037	Linda Kurdykya	Indiagyn Pharmaceuticals	04 Nov-2005		07 Nov-2005		\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2006-038	F. Glenn Jones	Prince George Airport Authority	04 Nov-2005	31 Mar-2003	09 Apr-2011		\$	30,000	\$	9,844	\$	\$	\$	\$	\$	\$
CLAS2006-039	A. BRIEN KERR	Prince George Airport Authority	04 Nov-2005		22 Jul-2011		\$	70,000	\$	35,341	\$	\$	\$	\$	\$	\$
CLAS2006-040	Paul Beckmann	Jim Russell and Janet Kennedy	09 Nov-2005		02 Feb-2009		\$	190,614	\$	831,894	\$	\$	609,386	\$	99,894	\$
CLAS2006-065	Alfred MacInnes	LaFollette-Goffrey & Khan	29 Dec-2005	01 Aug-2001	24 Jun-2007	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2006-072	Gail Wong	Z Tech (Canada) Inc	23 Dec-2005		10 Jan-2006	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2006-091	Steve Schenke	Rene Boettis	09 Nov-2005		26 May-2014	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2006-091b	Joan Erickson Scott	Rene Boettis	02 Dec-2008		31 Dec-2008	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2006-092	David F. Roberge	M. I. Aguero Dr. Angeles	29 Jul-2005		17 Jan-2006	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2006-093	Catherine Martelville	Alain Richard	06 Oct-2005		29 Aug-2007	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2006-094	Greg McElus	Stelco Inc.	15 Feb-2006	14 Apr-2005	11 May-2015	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2006-097	Awamish Sinha	Pine Equity Limited	24 Feb-2006	01 Apr-2004	30 Sep-2006	NCP	\$	\$	\$	3,472	\$	\$	\$	\$	\$	\$
CLAS2006-098	Michael Polewin	Jamara Pommeroyville et al	24 Feb-2006		07 Dec-2006		\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2006-104	Peter Brown	Nourayyous A/S	27 Feb-2006		06 Mar-2015	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2006-113	Stephen Grant	Loris Kishnar (2nd Chant)	03 Jan-2006		25 Jul-2006	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2006-118	Bruce/Timothy S. MacPhail/Ellam	Calpine-Canada Power Income Fund (CIC)	31 Mar-2006		27 Jul-2007	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2006-119	William R. Adamson	Department of Indian Affairs (CIC)	29 Mar-2006		28 Sep-2007	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2006-121	Edward McKoy	Voss Bereta	31 Mar-2006		22 Jun-2015		\$	\$	\$	221,562	\$	\$	\$	\$	\$	\$
CLAS2006-126	Donald Eric Smith	Nadira Mohawk Power Corp/Opsarc Investments	08 May-2006		24 Aug-2006	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2006-128	Gerald Tremblay	Alain Richard	02 May-2006		21 Oct-2009	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2006-130	Edward McKoy	John Hittnerer, Hittnerer Exploration Services et al	17 Feb-2006		18 Aug-2011		\$	\$	\$	\$	\$	\$	\$	\$	\$	\$













Claim No	Insured	Claimant	Report Date	Error Date	Close Date	Claim Disposition Abbr	Law Society						CLAS			
							LS Indemnity Paid	LS Legal Paid	LS Indemnity Reserve	LS Legal Reserve	LS Incurred Liability	CLAS Indemnity Paid	CLAS Legal Paid	CLAS Indemnity Reserve	CLAS Legal Reserve	CLAS Incurred Liability
CLLAS2011-007	Peter Brown (dec)	Vimy Hedge Group Ltd /Adremi Inc	23 Aug 2010		30 Jun 2011	SIR	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLLAS2011-010	Ian Bies	Stopbank Wines Limited	31 Aug 2010	30 Dec 2008	13 May 2012	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLLAS2011-011	Ian Bies	Kahushiki Kasha Park Way	30 Aug 2010	23 May 2008	23 Sep 2010	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLLAS2011-022	Linda Illets	1 State of Georgia Ryerson Gardiner	04 Oct 2010		02 Jul 2013	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLLAS2011-034	Chris Falk	Dr. Peter Braam	26 Oct 2010				\$	\$	250,000	\$	650,000	\$	\$	\$	\$	
CLLAS2011-046	Antia Nador	York University	27 Aug 2010	07 Feb 2008	29 Jun 2011	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLLAS2011-052	Marie Lessner	Audimax Corporation	19 Nov 2010		30 Jun 2011	SIR	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLLAS2011-053	Antia Nador	Imtux	19 Nov 2010	06 Apr 2009	28 Jun 2011	SIR	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLLAS2011-062	Colin S. McIvor	The Vancouver Airport Hotel Limited Partnership	06 Dec 2010	01 Jun 2010	31 Oct 2013	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLLAS2011-070	Christopher A. Weyland	William Smith Manderson	22 Dec 2010		31 Mar 2011	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLLAS2011-071	Hilary Lindlaw	Office of the Children's Lawyer/Both Estate	22 Dec 2010	01 Jun 2010	11 Feb 2014	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLLAS2011-079	Brenda Swick	Spoke Marks Inc	23 Dec 2010		30 Jun 2011	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLLAS2011-080	Paul Peterson	Toronto District School Board	22 Dec 2010		15 Mar 2012	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLLAS2011-081	Vanessa Grant	Richard Rowe	30 Dec 2010		10 Jun 2011	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLLAS2011-091	Jennifer Brezee	The Java Hut Express Co. Inc.	19 Jan 2011	01 Oct 2007	29 Dec 2011	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLLAS2011-096	Elizabeth/Lu M. Vogt/Vip	Pacific Place Dev Corp et al	19 Jan 2011	22 Nov 2001	30 Nov 2011	SIR	\$	71,941	\$	68,983	\$	146,314	\$	\$	\$	
CLLAS2011-097	David Crane	Aquinox Pharmaceutical Inc. and Aquinox Pharmaceuticals	26 Jan 2011	31 Mar 2010	30 Sep 2011	SIR	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLLAS2011-099	Scott / Peter Smythe / Pagnan	The Perla Development Partnership et al.	01 Feb 2011		03 Jun 2011	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLLAS2011-100	Scott / Peter Smythe / Pagnan	Omni Franchise Development Limited Partnership et	01 Feb 2011		03 Jun 2011	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLLAS2011-11b	Keith / Ross Burrell / Benson	Concord/Grand Adex	21 Feb 2011		03 Jun 2011	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLLAS2011-117	Keith / Ross Burrell / Benson	Appia Developments	21 Feb 2011		03 Jun 2011	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLLAS2011-118	Keith / Ross Burrell / Benson	Bosa Development Corporation	21 Feb 2011		03 Jun 2011	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLLAS2011-119	Keith / Ross Burrell / Benson	Maple Star	21 Feb 2011		03 Jun 2011	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLLAS2011-120	Lisa Vogt	Bello Management Inc.	01 Mar 2011		03 Jun 2011	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLLAS2011-121	Lisa Vogt	Thomas John Campbell and Ada Ltd	01 Mar 2011		03 Jun 2011	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLLAS2011-126	Beverly Ellingson	British Columbia L	01 Mar 2011		03 Jun 2011	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLLAS2011-133	Beverly Ellingson	Concert Real Estate Corporation	23 Feb 2011		03 Jun 2011	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLLAS2011-134	Beverly/Ashley Ellingson/Hillard	June Limited Partnership and Chard Developments	11 Mar 2011		03 Jun 2011	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLLAS2011-134	Beverly/Ashley Ellingson/Hillard	Centaron Limited Partnership and Coaxxon Gen et Pa	11 Mar 2011		03 Jun 2011	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLLAS2011-135	Beverly/Mark Ellingson/Indle	Interroll Development (Delentre) Corp.	11 Mar 2011		03 Jun 2011	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLLAS2011-136	Elizabeth H. Vip	Pacific Tender Development Partnership et al	16 Mar 2011		30 Sep 2011	NCP	\$	71,379	\$	68,983	\$	140,362	\$	\$	\$	
CLLAS2011-149	Gabrielle Richards	Intrawest ULC et al	04 Apr 2011	07 Nov 2010	31-Mar-2014		\$	300,000	\$	200,000	\$	500,000	\$	1,826,473	\$	
CLLAS2011-149b	Rosemarie Wertschek	Whisper Blackcomb Holdings Intrawest ULC et al)	04 Apr 2011		27 Apr 2012		\$	498,553	\$	4,447	\$	500,000	\$	71,527	\$	
CLLAS2011-152	Francois Giroux	Lowell Moor	22 Mar 2011		31 Dec 2011	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	

Claim No	Insured	Claimant	Report Date	Error Date	Close Date	Claim Disposition Abbr	Law Society						CLAS			
							LS Indemnity Paid	LS Legal Paid	LS Indemnity Reserve	LS Legal Reserve	LS Incurred Liability	CLAS Indemnity Paid	CLAS Legal Paid	CLAS Indemnity Reserve	CLAS Legal Reserve	CLAS Incurred Liability
CLAS2011-153	Justine Grace Muever	Michael Phillips	02 Feb-2011		31 May-2012	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2011-160	Jean-Philippe Buteau	Greenworth Global Capital Inc.	12 Apr-2011		05 Dec-2012	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2011-161	France Borsant	Jacques Tremblay (D1112) Canada Ltd.)	15 Apr-2011		31 Dec-2011	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2011-162	Andree Goyer	Jacques Berube	01-Apr-2011		24 Jun-2014	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2011-163	Michael D. Higgins	Oceana Abusaleem and Sami Abusaleem	08 Nov-2010		23 Apr-2012		\$	3,397	\$	\$	3,397	\$	\$	\$	\$	
CLAS2011-164	Gregory Iakoupoulos	Anthem Riverfront Land (David Leigh Neill et al)	05 Jan-2011	21 Dec-2010	25 May-2012		\$	9,565	\$	\$	9,565	\$	\$	\$	\$	
CLAS2011-166	Crispin J. / Mischa B. Arthur / Zaitoun	White Knuckle Holdings Inc. and Brass Knuckle hold	20 Apr-2011		19 Apr-2012		\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2011-167	Renée V. Reichelt	Ghost Pine Windfarm LP, Fortuna3 GP	06 Aug-2010		24 Sep-2010	SR	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2011-168	Debra J./Stephen L. Proulx/Lucrécia	SLUS Interprises / Dorcen Leblac	28 Oct-2010		31 Dec-2011	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2011-170	Barbara Isaacs, Mason Poplaw	Tom Martineau	03 May-2011		30 Jun-2017	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2011-170b	Barbara Isaacs	Tom Martineau	28 Jun-2011		30 Jun-2017	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2011-171	Conrad Rego	Sotterra et al. and Qualtex Landmark et al	07 Apr-2011		03 Apr-2011	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2011-188	Lara McPhail	The Owners, Strata Plan VS 45/8	16 Jun-2011	17 Jun-2011	27 Feb-2015	NCP	\$	3,620	\$	\$	3,620	\$	\$	\$	\$	
CLAS2011-196	Bernadette Saumur	Dow Chemical Company	29 Jun-2011	26 Mar-2010	10 Aug-2012	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2011-202	Kareem A. Zimmer	Vancouver City Savings Credit	10 May-2011				\$	\$	25,000	10,000	\$	\$	\$	\$	\$	
CLAS2011-203	Chloe Flourant	Carol Supren	06 Jun-2011	05 Apr-2011	21 Nov-2012	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2012-014	F M. (Lisa) Vejt	Redwell Harbour Hotel Ltd. et al	05 Aug-2011		27 Dec-2013	NCP	\$	5,826	\$	\$	5,826	\$	\$	\$	\$	
CLAS2012-046	Glen Leung	Anacost Scollia Development Partnership et al	10 Nov-2011		31 May-2012	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2012-069	Nigel Robinson	Richard Bird	18 Nov-2011	27 Sep-2010	27 Aug-2012	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2012-067	Kate Saller	Justin Rowe	24 Dec-2011		15 Mar-2012	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2012-073	Peter / Michael Harris / Bennett	Pallott Estate re Jean Pallott (Historic Planning)	30 Dec-2011		01 Mar-2015	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2012-083	Hilary Laidlaw	Felix Siren	11 Dec-2011		19 Apr-2012	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2012-087	Kareem A. Zimmer	Vancouver City Savings Credit (2nd file)	10 Dec-2011		08 Jul-2014	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2012-088	Miranda Leslie Lam	Manley Roth	19 Dec-2011		31 Mar-2012		\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2012-089	David Leonard	Jon Scott Headrick	06 Jan-2012	13 Jan-2010	28 Sep-2012	SR	\$	8,957	\$	\$	8,957	\$	\$	\$	\$	
CLAS2012-095	Julie Parla	Raymond Kim (non client)	20 Jan-2012	01 Apr-2011	31 Dec-2012	NCP	\$	5,557	\$	\$	5,557	\$	\$	\$	\$	
CLAS2012-111	Arnel Z. Breiman	John Barford, John Barford Architects Ltd	06 Mar-2012				\$	1,815	\$	\$	1,815	\$	\$	\$	\$	
CLAS2012-113	Erica J. Barn	Dr. Charles Smith/Canadian Medical Protective Assoc.	09 Mar-2012	22 Jun-2013	06 Mar-2012	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2012-115	Steven Raun	Seneca General Various Mobilities Inc.	09 Mar-2012		05 Mar-2015	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2012-120	Nathalie Gagnon	Christopher Bangs / McGill University	15 Mar-2012	15 Feb-2012	29 Mar-2016	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2012-121	Robert Jean Clavier	Gowling Lafleur Henderson LLP	15 Mar-2012	16 Sep-1992	26 May-2014	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2012-122	Alan Tardif	Maynard 2004 and Jean M. Maynard	15 Mar-2012		29 Mar-2016	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	
CLAS2012-129	Peter (Partner Partner) Pagnan	Cassels, Brock & Blackwell LLP	21 Mar-2012		31 Dec-2011		\$	\$	\$	\$	\$	\$	\$	\$	\$	

Claim No	Insured	Claimant	Report Date	Error Date	Close Date	Claim Disposition Abbr	Law Society						CLAS			
							LS Indemnity Paid	LS Legal Paid	LS Indemnity Reserve	LS Legal Reserve	LS Incurred Liability	CLAS Indemnity Paid	CLAS Legal Paid	CLAS Indemnity Reserve	CLAS Legal Reserve	CLAS Incurred Liability
CLAS2012-136	Michael M. Stephens	Recon Instruments Inc. and Braden Fraser Hall	10-Apr-2012	19-Mar-2012	31-Oct-2013	SIR	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2012-139	David E. Roberge	Denise Dube	13-Apr-2012	19-Mar-2012	28-Sep-2016	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2012-145	Vanessa Grant	Therapure BioPharma Inc.	24-Apr-2012	15-Mar-2012	03-May-2012	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2012-147	Justine Grace Mercer	Kerry Sangara	19-Apr-2012		30-Dec-2013	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2012-154	Thomas Conkoly	Cassels Brock & Blackwell LLP et al	15-May-2012				\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2012-173	Sally Bryant	David Fieldstone	17-May-2012				\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2012-179	Peter Harris	William Brock	29-Jun-2012		26-Apr-2013		\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2012-180	Simon Putter	Redmans, Benson & Hedges Inc (Imperial Tobacco)	29-Jun-2012		30-Jun-2018	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2012-181	David Ross	Serge Gagnon RCA Trust and Marcell Francœur RCA 1	29-Jun-2012		19-Jun-2013	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2012-182	Bernadette Dietrich	1 Mulholland	29-Jun-2012	05-Aug-2007	06-Feb-2013	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2012-185	Clarens Mayr	1D Securities Inc.	09-Jul-2012	25-May-2010	30-Jun-2013	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2012-186	Kevin Keyes	Ian Oldridge	25-Jun-2012		19-Jul-2013	SIR	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2012-190	Alain Luridil	Maynard 2004 and Jean M. Maynard (Fiducie Maynard)	21-Jun-2012		29-Mar-2016	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2013-014	Herman Van Ommen	Craig Pedron	14-Aug-2012	07-Feb-2012	30-Apr-2013		\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2013-017	Kim / David Emmanuel Nguyen / Roboore	Denise Dube	10-Aug-2012		28-Sep-2016	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2013-018	Thibault / Ryan Housseau / Hillier	Sylvie Marotte	15-Aug-2012		26-May-2014	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2013-019	Kim Nguyen	Dr. Marie Christine Roy	20-Aug-2012		28-Sep-2016	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2013-020	Karen A. Zummer, Matthew D Peters	Camelot UK Lotteries Limited	05-Sep-2012		25-Nov-2016		\$	7,887	\$	\$	7,887	\$	\$	\$	\$	\$
CLAS2013-021	Elizabeth / Jennifer Vip / Hayes	Concord Mkt Project Limited Partnership	21-Sep-2012	03-Apr-2012	15-Oct-2015	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2013-023	Joan Lortie	Kropco Inc. Master Trust, RWTS Trustee, RBC Direct	24-Sep-2012				\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2013-027	Adam Barza	Computershare Trust Company of Canada	27-Sep-2012	13-Jul-2009	17-May-2016	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2013-036	Shannon O.N. Grauer	Betzman Family Members	25-Oct-2012	23-Dec-2004	31-Jan-2017	SIR	\$	170,923	\$	\$	170,923	\$	\$	\$	\$	\$
CLAS2013-037	Anita Nador	Evangelos Michels and Stephen Archer	30-Oct-2012	04-Jun-2010	11-Dec-2012	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2013-042	Virginia / Russell Wignmore / Benson	Station Square 4688 Kingsway Limited Partnership	02-Nov-2012	11-Jul-2012	30-Oct-2015		\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2013-049	Christopher McHardy	The Fraser Institute	04-Dec-2012		29-Aug-2014	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2013-055	Jordanna Cyrynbaum	Terrace Niagara Society	13-Dec-2012		30-Apr-2015	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2013-056	Aidan/Sarah Cameron/Smythe	Huachu Canada Ltd.	27-Nov-2012		27-Dec-2013	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2013-058	James Morand	Imbercreek	21-Dec-2012	04-Jun-2010	28-Dec-2012	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2013-076	Malcolm Mercer	Simon and Henry Kariyon	13-Feb-2013		20-Mar-2013	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2013-087	Andrew McCutcheon	Stella Loo	01-Mar-2013	15-Feb-2013	31-Dec-2013	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2013-106	Nancy Frost	Francis Frederick Linsar	10-Apr-2013		30-Sep-2014	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
CLAS2013-127	Ell D. Mogh	Salline Solutions Inc.	06-Jun-2013		06-Jun-2014	NCP	\$	9,160	\$	\$	9,160	\$	\$	\$	\$	\$
CLAS2013-128	Theodore J. Koffman	Pharmasave Drugs (National) Ltd.	13-Jun-2013		29-Aug-2014	NCP	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$







[illegible]

[illegible]



## APPENDIX G

### RISK MANAGEMENT POLICIES AND PROCEDURES

---

Name of Firm:	McCarthy Tétrault LLP; McCarthy Tétrault Registered Foreign Lawyers and Solicitors; McCarthy Tétrault (New York) LLP; McCarthy Tétrault (US) LLP
---------------	--

Please provide a full description of the Firm's most current risk management policies and procedures or, if appropriate, an update to your response to Appendix G of last year's renewal application.

## APPENDIX G

### RISK MANAGEMENT POLICIES AND PROCEDURES

---

Name of Firm:	McCarthy Tétrault LLP; McCarthy Tétrault Registered Foreign Lawyers and Solicitors; McCarthy Tétrault (New York) LLP; McCarthy Tétrault (US) LLP
---------------	---

1. Please advise if there is a committee or partner with overall responsibility for professional liability risk management of your firm.

**The General Counsel, reporting to the Chief Executive Officer, has overall responsibility for professional liability risk management.**

2. Please provide a full description of the Firm's risk management policies and procedures and include the following:
- (a) A list of the Firm's written risk management policies and procedures (e.g. with respect to client intake, conflict checking, lawyers acting as directors or officers) and how these policies and procedures are communicated (e.g. internal practice policy or policy manual, seminars, etc.).

**The following are the current written risk management policies and procedures:**

- a. **Audit Response Letter Protocol**
- b. **Client Identification and Verification Policy**
- c. **Conflicts Check and New Matter Intake Policy**
- d. **Directors and Officers Policy**
- e. **Personal Interests Policy**
- f. **Pro Bono Policy**
- g. **Opinions Policy**
- h. **Confidentiality Policy**
- i. **Whistleblowing Policy**
- j. **Anti-Bribery Policy**
- k. **Harassment Policy**
- l. **Canadian Anti-Spam Legislation Policy**
- m. **Records Retention Policy**
- n. **Data Breach Protocol**

**These policies are prominently displayed on the firm's intranet site by a link on the home page entitled Risk Management Policies or are otherwise displayed on the intranet.**

- (b) The Firm's procedure for opening or starting work on a file (e.g. use of a standard new client form, retainer letter, conflict check, approval process, etc.).

**Conflicts Check and New Matter Intake Policy and Procedure are in place.**

- (c) A description of the Firm's tickler system for various practice areas (e.g. litigation, intellectual property, wills and trusts).

**There is a firmwide tickler system for intellectual property called PATTSY, which is a commercial software program.**

**Each office runs its own litigation tickler system.**

**APPENDIX H**  
**CYBER LIABILITY**

---

---

Name of Firm:	McCarthy Tétrault LLP; McCarthy Tétrault Registered Foreign Lawyers and Solicitors; McCarthy Tétrault (New York) LLP; McCarthy Tétrault (US) LLP
---------------	---

**1. Personnel**

- a) Do you have a Chief Security Officer or Chief Information Security Officer or equivalent? ☒ yes ☐ no  
**- Manager of IT Security**

If "no", what role within the Firm is responsible for the management of and compliance with the Firm's Security Policies?

---

- b) Do you have a Chief Privacy Officer or equivalent? ☒ yes ☐ no  
**- David Woolcombe**

If "no", who within the firm is responsible for the management of and compliance with the Firm's Privacy Policies?

---

**2. Protection**

- a) Do you use encryption tools to enhance the integrity and confidentiality of confidential information?  
☒ yes ☐ no

If you use encryption tools, in which scenarios is data encrypted? (Check all statements that you believe are applicable.)

- ☐ Data at rest  
☒ Data in transit  
☒ Data transferred to removable media (laptops, CD's, backup tapes, USB devices, etc.)  
☐ None of the above

- b) Do you use and regularly update industry-standard antivirus software? ☒ yes ☐ no
- c) Do you install the latest software updates to reduce security vulnerabilities? ☒ yes ☐ no
- d) Do you require that passwords be a minimum length and contain alpha and numeric characters?  
☒ yes ☐ no
- e) Do you require that passwords be regularly updated? ☒ yes ☐ no
- f) Do you check to make sure that no spyware or adware resides on your computer? ☒ yes ☐ no
- g) Do you use and regularly update industry-standard firewall protection systems to prevent unauthorized access to internal networks and computer systems? ☒ yes ☐ no
- h) Is the data on your servers encrypted? ☐ yes ☒ no
- i) Is the data on your desktop and laptop computers encrypted? ☒ yes ☐ no
- j) Is the data on your mobile devices encrypted? ☒ yes ☐ no

- k) Have predesignated computer system/application access rights and privileges been set for all authorized users? ☒ yes ☐ no
- l) Is there hourly or daily automatic backup of documents and emails? ☒ yes ☐ no
- m) Is there hourly or daily automatic backup of your firm-wide tickler system and/or your lawyers' own personal tickler systems? ☒ yes ☐ no
- n) Are backups stored off-site at a secure location? ☒ yes ☐ no
- o) Do you use software that can be used to wipe laptops and mobile devices clean if they are misplaced or stolen? ☒ yes ☐ no
- p) Do you use software that can detect unauthorized transfers of personal information and unauthorized copying of files? ☐ yes ☒ no
- q) Do you use a metadata scrubber on documents that you transmit to clients or third parties such as opposing counsel? ☒ Most of the time ☐ Occasionally ☐ Never

### 3. Incident Response

Do you have a written network security incident response plan? ☒ yes ☐ no

**- There is a written network security incident response plan and it will be reviewed and tested by the firm for its effectiveness.**

If "yes":

a) Does it include alternative options should a critical third party outsourcing provider's operations be incapacitated? ☒ yes ☐ no

b) Does it include procedures to alert your clients that their data may have been compromised? ☒ yes ☐ no

### 4. Policies

- (a) Do you maintain a comprehensive information security and privacy policy that is updated and enforced on a continual basis? ☐ yes ☒ no

**-We have an information security policy. We do not actively enforce compliance with the policy.**

- b) Do you advise your lawyers of the risks of using unencrypted email? ☐ yes ☒ no

**However, our system automatically encrypts the messaging tunnel (TLS=Transport Layer Security).**

- c) Does your firm advise your lawyers of the dangers of metadata? ☐ yes ☒ no

**However, our system automatically encrypts the messaging tunnel (TLS = Transport Layer Security).**

- d) Do you purchase insurance other than CLLAS coverage to protect you in the case of privacy breaches? ☐ yes ☒ no

- e) Do you purchase insurance other than CLLAS coverage to protect you in the case of cyber-attacks? ☒ yes ☐ no



## **APPENDIX I**

### **2019 PROFESSIONAL LIABILITY INSURANCE APPLICATION AND EXEMPTION FORM SUBMITTED TO LAWPRO**

---

Name of Firm: McCarthy Tétrault LLP; McCarthy Tétrault Registered Foreign Lawyers and Solicitors;  
McCarthy Tétrault (New York) LLP; McCarthy Tétrault (US) LLP

## E-Filing History Details: P2395532

**Firm Application for Professional Liability Insurance**

RENEWAL

Firm Number	A050140
Firm Name	MCCARTHY TETRAULT LLP
Date of Filing	05 NOV 2018
Time of Filing	09:31:23AM
Filed By	DARIA HANYCZ
Filer Phone No.	514-397-2328
Filer Email Address	dhanycz@mccarthy.ca

**General LAW FIRM Information**

Contact Name	DAVID WOOLLCOMBE
Contact Title	PARTNER, GENERAL COUNSEL, BUSINESS LAW
Number of PARALEGAL PARTNER(S) OR SHAREHOLDER(S) and LAWYERS in LAW FIRM	315
Number of non-lawyer staff in LAW FIRM	400
<b>Excess Liability Insurance</b>	
Name of Insurer	CLLAS Canadian Lawyers' Liability Assurance Society
<b>Liability limits (above LAWPRO POLICY limits)</b>	
Million per CLAIM	249
Million aggregate	249
Average Gross Billings	AVERAGE OVER \$500,000

**LAW FIRM's Coverage Options**

DEDUCTIBLE Option	\$25,000 EXPENSES & DAMAGES DEDUCTIBLE
Innocent Party Sublimit Coverage	500k
Restricted Area Of Practice Option	NO
Real Estate Practice Coverage Option	YES
Number of LAWYERS practising Real Estate	38

**LAW FIRM's Premium Payment Options**

Third Party Payor Authorization	NO
Payment Option	LUMP SUM
Payment method	CASH
Mail Payment Info	N/A
Requested Paper Invoice	YES

**CLAIM(S) and POTENTIAL CLAIM(S)**

Not yet reported	NO
------------------	----

Signature declaration has been acknowledged

Your Comments and/or Suggestions

[Privacy](#) | [Legal](#) | [Terms & Conditions](#) | [Refunds & Returns](#) | [Feedback](#)



My LAWPRO > File Online **My LAWPRO**

FIRM Account#: A050140

Name of LAW FIRM: MCCARTHY TETRAULT LLP

Transaction Levy Filings	Primary Policy Documents	Excess Coverage
File online	Member list	Update Payment Info
		E-Filing History

Below is a summary of lawyers currently listed with your firm. If you have questions regarding this summary, please call LAWPRO Customer Service at 416-598-5899 or 1-800-410-1013. Please note any changes to your firm listing must be submitted in writing to LAWPRO Customer Service ([service@lawpro.ca](mailto:service@lawpro.ca)), including the effective date of the change.

LSO#	Last Name	First Name
61371A	ABERANT	BENJAMIN THOMAS
45874O	AFARIAN	HOVSEP
71521R	AL-SHAKARCHI	HEBA MAZIN
46542M	ALPER	LARA
74446F	ALPLI	DILARA
62094R	APESTEGUY-REUX	HELOISE EMMANUELLE KIMBERLEY
36983G	ARBUCK	AVA
40331W	ARMSTRONG	ANDREW ROBERT
74095T	BADDAR	MAZEN RIBHI
49730Q	BADOUR	ANAIS
32141F	BAIRD	GORDON DAVID
74898T	BALA	ASMA
68347C	BAMFORD	CHRISTOPHER JASON
45588W	BARON	ERICA JILL
42797N	BATNER	SARIT ESTHER
22620K	BAUSLAUGH	RANDY VERMONT
30559U	BEAIRSTO	LINDA SUI EUE
35764A	BEATTIE	KENT FREDERICK WILLIAM MILLEN
47066W	BELLISSIMO	EVA
65326I	BELTRAN	DIEGO MAURICIO
73412F	BERGEN	SCOTT ALEXANDER
62117U	BITRAN	JONATHAN ABRAHAM

29774I	BLACK	WILLIAM DAVID
60368N	BLIDARIU	OVIDIU CRISTIAN
47479K	BLOCK	ERIC SHANE
27215W	BOAKE	BARBARA JOANNE
74474S	BOGDANOVA	POLINA
27948O	BORGERS	OLIVER ARMIN JOACHIM
50976R	BORNSTEIN	MICHAEL DANIEL
36628G	BOSCARIOL	JOHN WERNER
71165R	BOURASSA	PIERRE AVRAM
46558F	BRABANDER	JOACHIM RUPERT KRISTIAN
42438G	BRADY	PETER DAVID
63339O	BRANDSMA	MARTIN EVERETT
68360S	BRIDGES	MEGHAN SOLVEIG
53647C	BRIMMER	JO-ANNA MARIE LOUISE
75895T	BRISTOL	CHANELLE LINDSAY
44509J	BROUSSEAU	WENDY ANNE
22635H	BROWN	JOHN PATRICK
75386C	BRUNO	SABRINA ANNE
19449G	BUCHANAN	DUNCAN GRANT
34594O	BURT	GLYNNIS PATRICIA
67693Q	BUTT	SAQIB
72153T	CALDWELL	MARISSA BERNICE TOCCACELLI
74482U	CAMERON	SIMON GRANT
20872W	CANNON	DOUGLAS ALAN
25719D	CARROLL	NANCY JOAN
73144J	CHADWICK	STEVEN MICHAEL
59752D	CHALABI	RAMI CAMILLE
61807O	CHARACH	DOROTHY ELIZABETH
73146B	CHAWLA	NEHA
72834D	CHESNEY	ERIN SAMANTHA
70853T	CHIARELLI	NICOLE ALYSSA
68688N	CHOW	NOEL LOK-YAN
36661K	CHUA	CHIA-YI
58006H	CHUNG	CHUN HIU
73151P	CHUNG	VANESSA MEI-HENG
51250T	COHEN	SIMON DAVID
65454L	COLE	JACQUELINE LESLIE
66798V	CONFORTI	NADIA

21609V	COSTIN	ABRAHAM
67715A	COURTIS	TREVOR ALLEN
63984N	COX	EDWARD ARLOW
52217D	CRANE	REBECCA ANN
24010G	CRUMMEY	SHEILA MARGARET BURKE
32801F	CRUZ	DARRYL ALEXANDER
47777L	CUMMING	MATTHEW JEFFREY
39018N	CURRIE	JOHN COMPTON
74252M	CUSSON	SUZIE ISABELLE
58241G	D'SOUZA	SHANE CYRIL
45379V	DARROCH	STEPHEN KYLE
31807Q	DAWSON	JOHN ATWOOD ROY
63358G	DEMEO	MATTHEW ALEXANDER
53974M	DHILLON	RANJEEV SINGH
72533O	DICKSON	FRASER JOSHUA
74536B	EL-HALABI	LINDA
63026Q	ELDRIDGE	MICHAEL JAMES CHARLES
73190S	FIRESTONE	JESSICA WHITNEY
45939I	FISHMAN	LAUREN MARLA
70291R	FITZ	NICHOLAS ERIC
37805V	FITZPATRICK	SIMON ROSS
58890M	FLISFEDER	MARC AARON
61493Q	FODERICK	MICHAEL ALEXANDER
62510I	FRANCIS	CARMEN VICTORIA
17411L	FREEDLANDER	CLAUDE JEFFREY
35462P	FURLAN	JOHN STEPHEN
34676I	GAGE	JAMES DAVID
72221M	GAJOS	PATRICK
53078R	GALBRAITH	PAUL TERRENCE BRUCE
35469K	GAVINS	MARGARET ELLEN
17769L	GIRVAN	GARTH MALCOLM
63670T	GLASGOW	ROBERT ANDREW
52254O	GLOVER	DANIEL GEORGE CHARLES
45414V	GOH	TZEN-YI
69114R	GOLDENBERG	SYDNEY ADAM
60105M	GORDON	HEIDI ALICIA
69632J	GOUDGE	DANIEL MARTIN THOMAS
66291H	GOWDY	CLAIRE ANTOINETTE

46884T	GRACE	KEARY FRANCES
58585F	GRAHAM	MOYA JANE
29883T	GRANDILLI	DANNY COSIMO
32270E	GRANT	JONATHAN RUSSEL
11536S	GRANT	PETER STEWART
17775V	GRAUER	SHANON ORLA NETH
55835S	GRAY	BRYN EMLYN
74571U	GRBAC	PETER SIMON
68744U	GREEY	LESLIE MARGARET
52263M	GRIMALDI	LAUREN HOPE
64040Q	GU	YUJING
40463J	GUDOFSKY	JASON LESLIE
34701O	HALL	GEOFFREY ROBERT
72892V	HAND	JENNIFER ELLEN
42032B	HANSEN	ROBERT OSBORNE
68982H	HARRIS	MATTHEW VINECOVE
46894N	HARRISON	MARTHA LOUISE
70312F	HEALY	PATRICK STEPHEN
61873L	HENKELMAN	ISABEL CORRAL
30691S	HIGA	RICHARD THOMAS
39483U	HINCER	ILKIM
66654C	HOLTOM	JAMES SHELDON STARK
58605U	HORNBY	RYAN CHRISTOPHER
76133G	HORNE	WILLIAM ELLWOOD
20632U	HOUSTON	DONALD BRIGDEN
45682N	HUBBARD	CHRISTOPHER MICHAEL DAVIS
71951F	HUMPHREY	CAROLINE HOPE
74609U	IARUSSO	AMANDA DI GESU
50776O	IATROU	NIKIFOROS
36742I	ING	CHRISTINE GRACE
56814W	IRWIN	JACOB TYSON
61558K	JAMAL	KABIR
33303M	JANETOS	JAMES JOHN
23745C	JOHNSTON	NIGEL PEREGRINE JULIAN
53300P	KABA	DAYE
49339U	KAIN	BRANDON MICHAEL
59838E	KALAMUT	ANDREW ANTHONY
59839A	KALOGIROS	KOSTANTINOS

39121C	KAPUR	SUNIL
70629K	KARECLAS	ANDREA
68779F	KATIBI	DAMILOLA OLUTOSIN
64891R	KAUR	JASPREET
45441P	KELLEHER	MATTHEW STEPHEN
64458H	KELSALL	JAMES CYRIL
56832S	KERR	GILLIAN PATRICIA
55258I	KERSHAW	MICHAEL ALLAN
75091A	KIM	JEONG HYUN
49347W	KLEIN	JAMES GILBERT
69002S	KNOWLES	JEFFREY JAMES
74642B	KOLOS	NATALIE VICTORIA
66338F	KOSHAL	ANURAG
58328D	KOUR	SHARON ANN
63726P	KUNYNETZ	PAUL ADRIAN
57163I	KUSHNER	SARA MEGAN
68476B	LAHAM	JESSICA LAUREN
70650F	LAI	BENJAMIN ZI MING
28509Q	LAIDLAW	HILARY ELLEN
75101V	LAMOND	SHANE WILLIAM
41714Q	LANGDON	CHRISTOPHER WILLIAM
43290K	LAPEDUS	JUSTIN ADAM
34761B	LAWSON	JOHN TIMOTHY
43291G	LAWSON	JOHN TREVOR
67833J	LEE	DAKIN CONRAD
57177J	LEE	GORDON WAI-YAN
59335U	LEE	MARY WEI-YIN
72626V	LEE	WONSEOK
60497M	LEGERE	FIONA ELIZABETH
70038W	LEIGH	PETER GORDON
35547U	LEONARD	DAVID EDWARDS
27391D	LEVER	DAVID ALLAN NORMAN
64766C	LEWIS	ATRISHA SHEENA
76305T	LIGHTOWLER	MITCHELL STAN BLAIR
66359M	LINDNER	JUSTINE MARIE
30748K	LITWACK	GARY MICHAEL
66677B	LIZIUS	RICHARD JONATHAN
46015W	LOCKE	WENDI ALLISON



44787S	LONSDALE	CHRISTINE LINDA
61919N	LUI	KERRI KIT-YEE
65610I	LYNCH	KELLEHER JAMES THOMAS
60194I	LYNDE	DANIEL JOHN
13669A	MACARTHUR	GLEN GORDON
38767V	MACCHIONE	ALFRED
25885N	MACDOUGALL	CYNTHIA ANN
69514A	MACISAAC	RYAN JOSEPH
31169T	MACMULLIN	MARC JOSEPH
70969C	MAIN	WILLIAM LESLIE
55888W	MAK	HO-IAN
69687F	MALISCHEWSKI	CHARLOTTE-ANNE LOUISE
66170K	MAMO	SELINA
35989R	MASON	STEVEN GARTH
68177E	MASOUD	ZACHARY
42070I	MASSE	DEAN CHRISTOPHER
39179J	MATHESON	ANDREW BRUCE
43534A	MCCAY	PATRICK JOHN
43126P	MCCONNELL	KENNETH MICHAEL
23802F	MCCULLOUGH	WILLIAM DOYLE
67287A	MCEWAN	CHRISTOPHER MARK
43313N	MCGRATH	SHEVAUN RUTH
35583M	MCKAY	JUDITH ELIZABETH ANN
45737N	MCKENDRY	JENNIFER ANN EAGLES
30776A	MCLAUGHLIN	FRANCIS ANTHONY JOSEPH
67855M	MCNEECE	KATHRYN ANGELA
54070O	MCNEILL	KATHERINE MARGARET HOCKIN
51712I	MELANSON	LISA MICHELLE
62591C	MENDIS	SANJAYA UDAM
23812W	MERCER	MALCOLM MACKENZIE
48354R	MEREDITH	HEATHER LYNNE
64522Q	MILLER PLEASANT	JEREMY PAUL
57209K	MINARD	ALISON MARIE
37227P	MIRANDOLA	SALVATORE
62293B	MISTIOUK	ANDREJS
52615R	MOGIL	ELI DANIEL
53580Q	MORAND	STEFANIE ANN
46055V	MURPHY	SUZANNE VICTORIA

71005R	MURRAY	JOHN WILLIAM
53793B	MUSGRAVE	TRISTAN SEAN
65220T	NAIRN	JOANNA LYNN
72682B	NIMANI	ARON
72685M	NOSIKOVA	YAROSLAVNA
60903J	NOVEK	MIRA AILEEN
75636C	NOVOSELAC	ALYSSA GRACE
68523K	NOWAK	BARTOSZ MICHAL
75637V	NTIRI	VIVIAN ABENA
64545P	O'BRIEN	MICHAEL JOHN PAUL
39220T	O'NEILL	SEAN CARLOS
72688A	OGNIBENE	ALEXANDER RAYMOND
13316D	ORTVED	WILLIAM NIELS FREDRICK
46699N	ORZECZ	JAMIE DAVID
73301C	OSTLER	LEAH IRENE
35760Q	PALLONE	CANDACE MARIE
70709M	PANDYA	SARIT
38387D	PARKER	ANDREW CHARLES
45763L	PARLA	JULIE KAY
46704I	PARSONS	LYNN MARTHA
30820V	PEEBLES	DANA MUIR
61661W	PELLEGRINO	ERIC ANTHONY
64559Q	PENGELLY	CARL PATRICK ALLAN
59362O	PIOVESAN	CAROLYN JOY
72702Q	PLUMMER JR	GEORGE
74742O	POPOFF	EKATERINA ANDREEVNA
23199Q	PORTER	DAVID MCCRAE
58411E	PRESCOTT	RYAN MATTHEW
43343S	PRESTA	DOMENIC FRANCESCO
13980R	QUINN	PETER DONALD
72703M	QURESHI	TAHA
41141B	RATELBAND	JOHANNES BENJAMIN
74754A	RAVILET GUZMAN	ETIENNE FRANCISCO
30191W	RENAUD	MARIE ANNE CECILE MADELEINE
22193G	RICHARDS	GABRIELLE MARIA RETTERATH
34854I	RICHARDSON	ROBERT JOHN
70108H	RIVERS	MARIKO TESS
65689W	ROBINSON	SCOTT DEREK

62358S	ROGERS	SAMUEL BURNS
64585O	ROSE	KEITH DAVID
58140U	ROSENBERG	HENRY MICHAEL GILMOUR
49662A	ROSENGARTEN	JOANNA MARIA
33050B	RUETER	DIRK ERIC
23228G	RYAN	BARRY JAMES
30094U	SADLER	SEAN DAVID
75239H	SAHDRA	SIMRANJEET KAUR
73032K	SALANDRA	ANTHONY FRANCESCO
45513P	SALZBERGER	DEBORAH FAYE
17586I	SANFORD	PHILLIP LAWRENCE
58432L	SCHAFER	CHARLENE ELIZABETH
64597A	SCHERMAN	MICHAEL GERARD
72726L	SCHNEIDER	ANDREA MARGARET
57742K	SCHUBERT	BELINDA ELISA
58732E	SCHUBERT	DEANDRA LISA
23234Q	SCOTT	WILLIAM GEORGE
47930U	SEE	JONATHAN DAVID
71055K	SHAH	SHAUVIK
57745V	SHAW	BYRON DAVID
55973P	SHIP	ADAM SAMUEL
70749L	SHOEMAKER	JUSTIN ROBERT
25546R	SIBAY	GODYNE NERA LOUISE
44664G	SINHA	AWANISH
47939H	SIRIVAR	ABBAY KATEREGGA
47130I	SIU	MICHELE FOONLYNN
40667T	SMALL	SHEA THOMAS
68266E	SMITH	BRENDAN CHARLES
59085U	SMITH	KARA LEE
21862Q	SOOKMAN	BARTON BARUCH
17613G	SORELL	RENE RICHARD
68271S	SOYE	KAITLIN JANE
70149C	STANIC	LJILJANA TANJA
75719P	STEELE	ALEXANDER GRANT
21869L	STEEP	ROBERT PAUL
28674H	STEPHENSON	JENNY PATRICIA
64251J	SUGAR	STEPHANIE ANNE
40683A	SUTTON	THOMAS NEVILLE TANTON

75725C	TABORDA	ASHLEY ERIN
47718U	TAIT	DAVID ALAN
25564N	TAKACH	GEORGE STEVEN
52983W	TANNER	STEVEN
45827J	TAYLOR	ADAM RICHARD
45828F	TAYLOR	KIERSTEN ELIZABETH
53156E	TEMPLER	DEBORAH CLAIRE
23913I	TENNANT	DAVID BUCHANAN
75737L	UR	JOSEPH SOLOMON
74847H	VALITUTTI	ALESSANDRA CARMELA
32088J	VEGH	GEORGE
66514N	WADSWORTH	CHRISTINE BARBARA
61829R	WALDMAN	CHRISTINE MELANIE THERESA
35128W	WALDOCK	DERON PATRICK
52998T	WANG	BOBBY HAI BEI
21903P	WEIZMAN	MICHAEL
51188C	WERTHEIM	ORLEE LUBA
19038K	WILLCOCKS	GORDON FREDERICK
28709T	WINFIELD	GREGORY JAMES
71714O	WONG	CHRISTINE FRANCES
32519U	WOOLLCOMBE	DAVID EDWARD
33558L	WORTZMAN	SUSAN BARBARA
73101W	WU	FREDERICK WEIHAN
69895K	WUERGLER	JESSICA ANNA
72443S	XIAO	DEAN MINGDA
63243T	YANNOPOULOS	GEORGE MATTHEW
74873F	YU	YONGCHUAN
36973M	YUAN	JOHN CHUNG-YEE
66156V	ZATZMAN	RENEE IRENE
70507R	ZAWADZKI	CHRISTOPHER MAREK ANDREW
30928C	ZAYID	CAROLINE REEM
74876Q	ZED	JUDITH VICTORIA IRVING
75809L	ZED	LEESHA PAUL

Number of LAWYERS in the firm: 321

For more information about this form, please contact LAWPRO Customer Service at: 1-800-410-1013, or (416) 598-5899 in Toronto or via e-mail at [service@lawpro.ca](mailto:service@lawpro.ca).

## APPENDIX J

<b>OFFICES</b>	
<b>VANCOUVER</b> McCarthy Tétrault LLP Suite 2400, 745 Thurlow St. Vancouver BC V6E 0C5 Telephone: (604) 643-7100 Facsimile: (604) 643-7900	<b>CALGARY</b> McCarthy Tétrault LLP Suite 4000 421 – 7 <sup>th</sup> Avenue S.W. Calgary AB T2P 4K9 Telephone: (403) 260-3500 Facsimile: (403) 260-3501
<b>QUEBEC</b> McCarthy Tétrault LLP 500, Grand Allée Est, 9e étage Québec PQ G1R 2J7 Telephone: (418) 521-3000 Facsimile: (418) 521-3099	<b>TORONTO</b> McCarthy Tétrault LLP Suite 5300, TD Bank Tower Toronto Dominion Centre Box 48, 66 Wellington St. W. Toronto ON M5K 1E6 Telephone: (416) 362-1812 Facsimile: (416) 868-0673
<b>OTTAWA - (Office Closed)</b> McCarthy Tétrault LLP Suite 200, 440 Laurier Avenue West Ottawa ON K1R 7X6 Telephone: (613) 238-2000 Facsimile: (613) 563-9386	<b>MONTREAL</b> McCarthy Tétrault LLP Suite 2500 1000 de La Gauchetière Street West Montréal PQ H3B 0A2 Telephone: (514) 397-4100 Facsimile: (514) 875-6246
<b>LONDON, U.K.</b> McCarthy Tétrault, Registered Foreign Lawyers and Solicitors 125 Old Broad Street, 26th Floor London EC2N 1AR United Kingdom Telephone: 011-44-20-7786-5700 Facsimile: 011-44-20-7786-5702	<b>NEW YORK</b> McCarthy Tétrault (US) LLP 55 West 46 <sup>th</sup> Street, Suite 2804 New York, New York USA Telephone: 646-940-8970 Facsimile: N/A